

Erfahrungen aus 8 Jahren Test-Gap-Analyse in der Praxis

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Vorstellung



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Google Meet - apr-zwcp-1 x +

meet.google.com/apr-zwcp-1?authuser=1

...und der Rest des Teams natürlich auch!

Agenda

- **Teil 1: Grundlagen der Test-Gap-Analyse**
- **Teil 2: Herausforderungen bei der Einführung**
- **Teil 3: Kosten-Nutzen-Analyse**

Teil 1:

Grundlagen Test-Gap-Analyse

Stellen Sie sich vor, Sie sind dafür verantwortlich,
dass alle Codeänderungen »ausreichend« getestet werden...

Wo treten Fehler in Produktion auf?

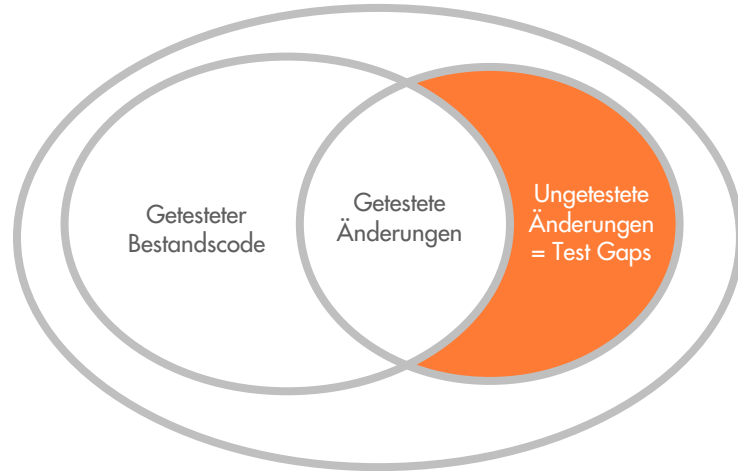
Studie: C# System

Release A:

15% Code neu/geändert,
>50% ungetestet

Release B:

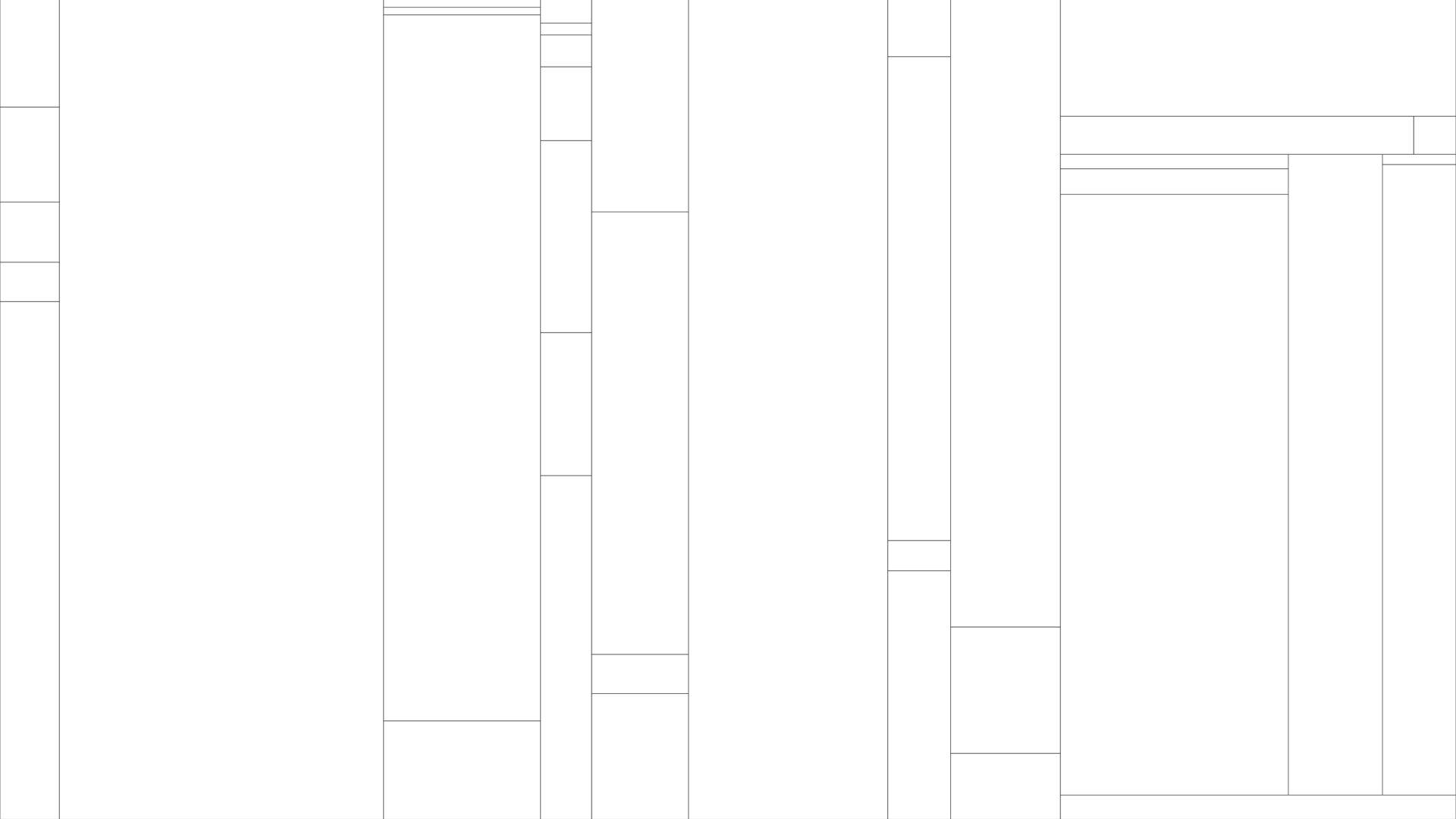
15% Code neu/geändert,
>60% ungetestet

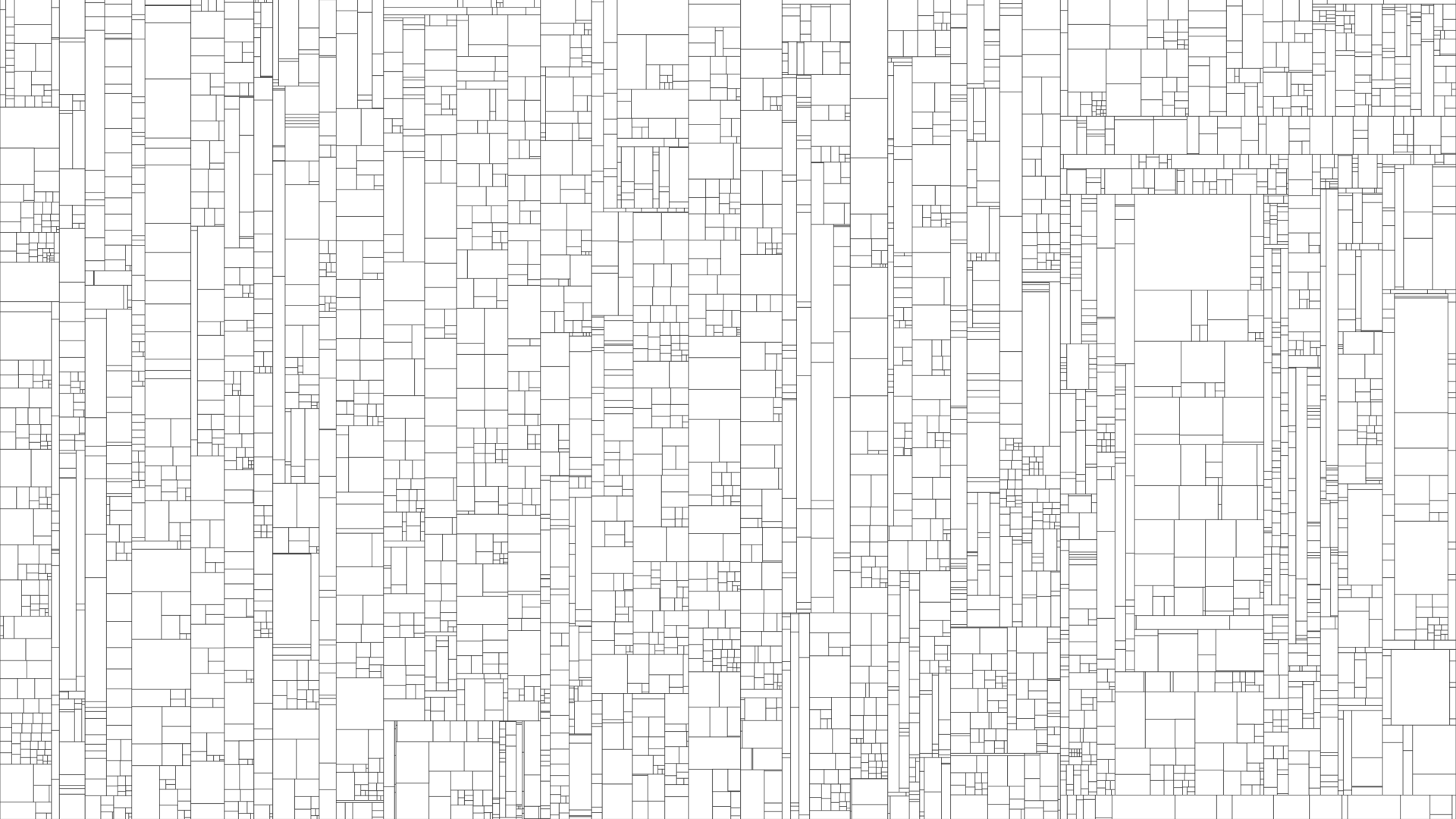


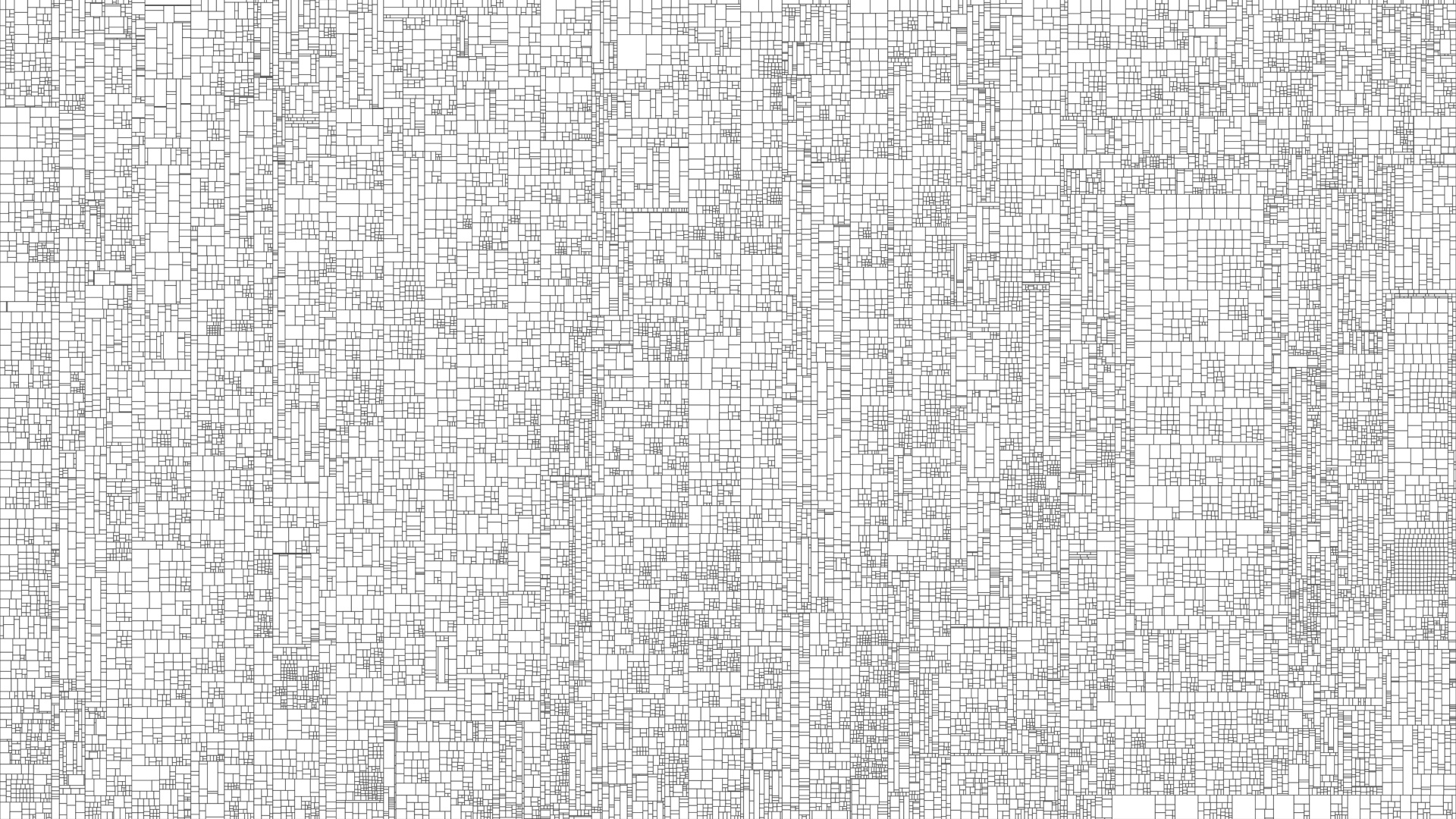
Feldfehlerwahrscheinlichkeit 5x höher für ungetestete Änderungen!

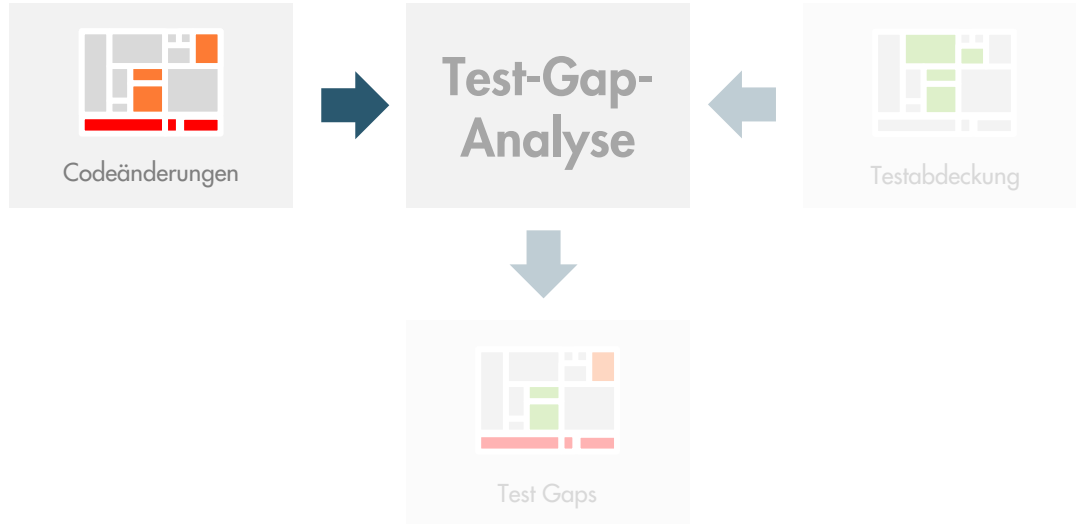
Ziel:
Finde die ungetesteten Änderungen
(= Test Gaps)

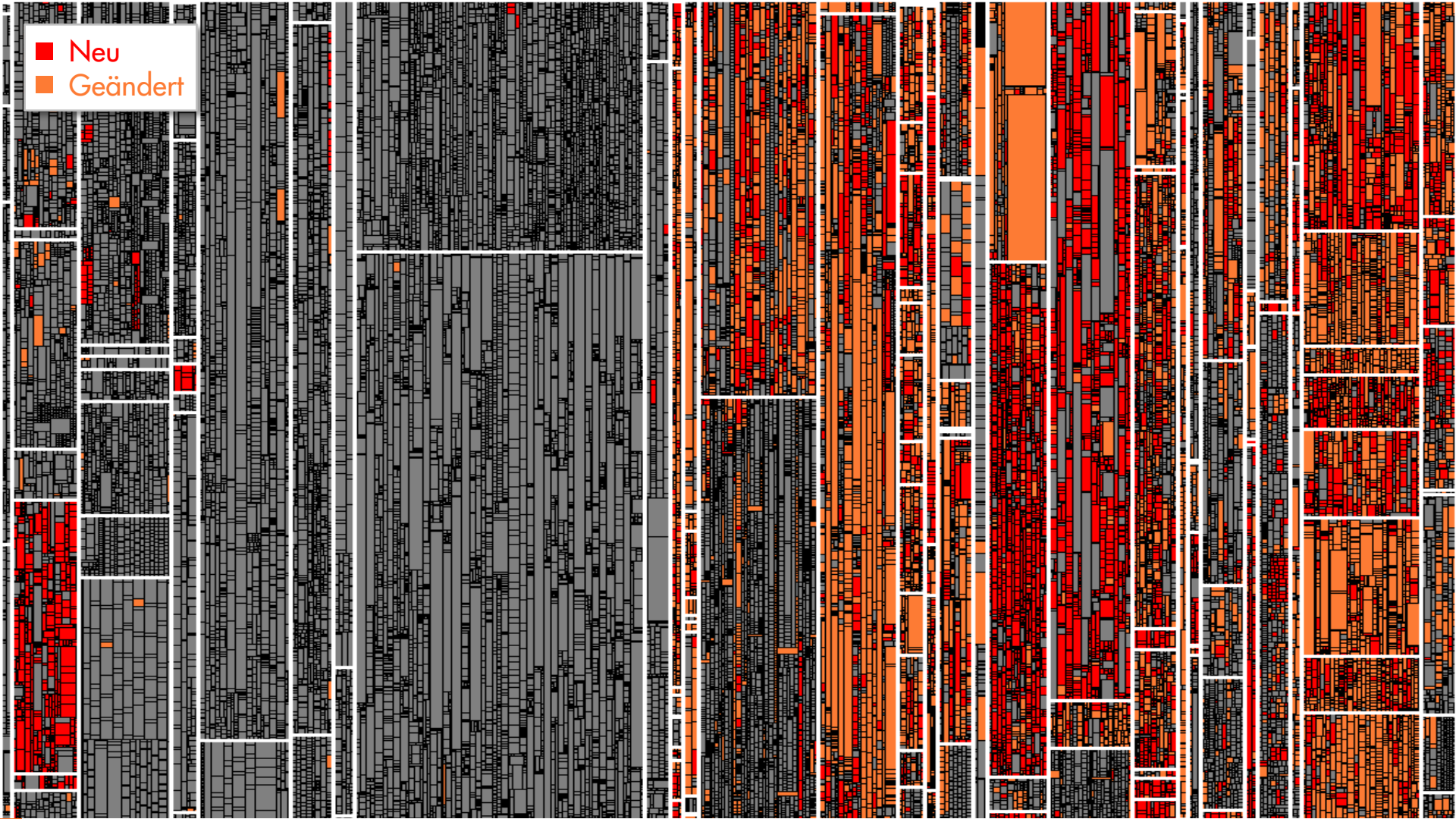
*Weil Fehler im geänderten, ungetesteten Code
sehr viel wahrscheinlicher sind als anderswo*

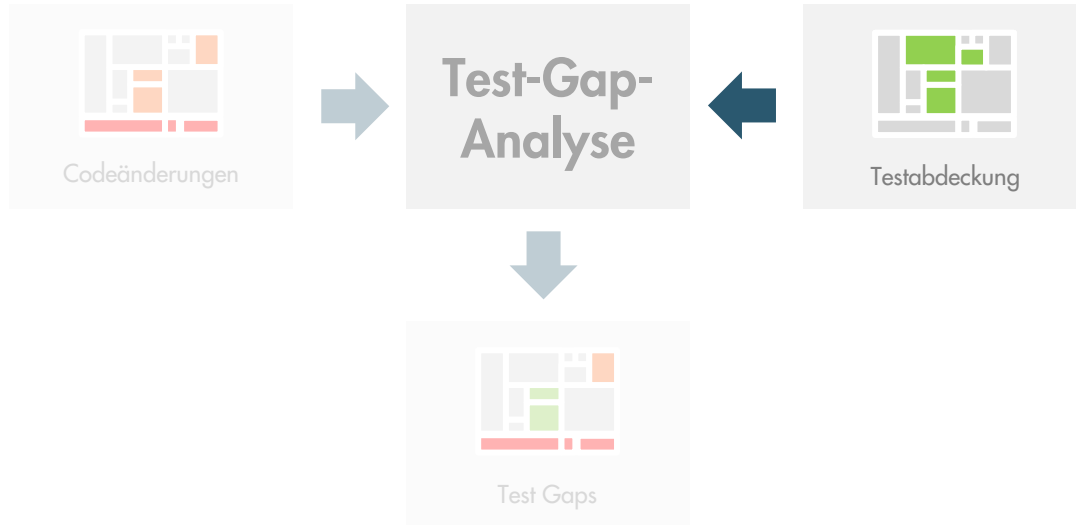






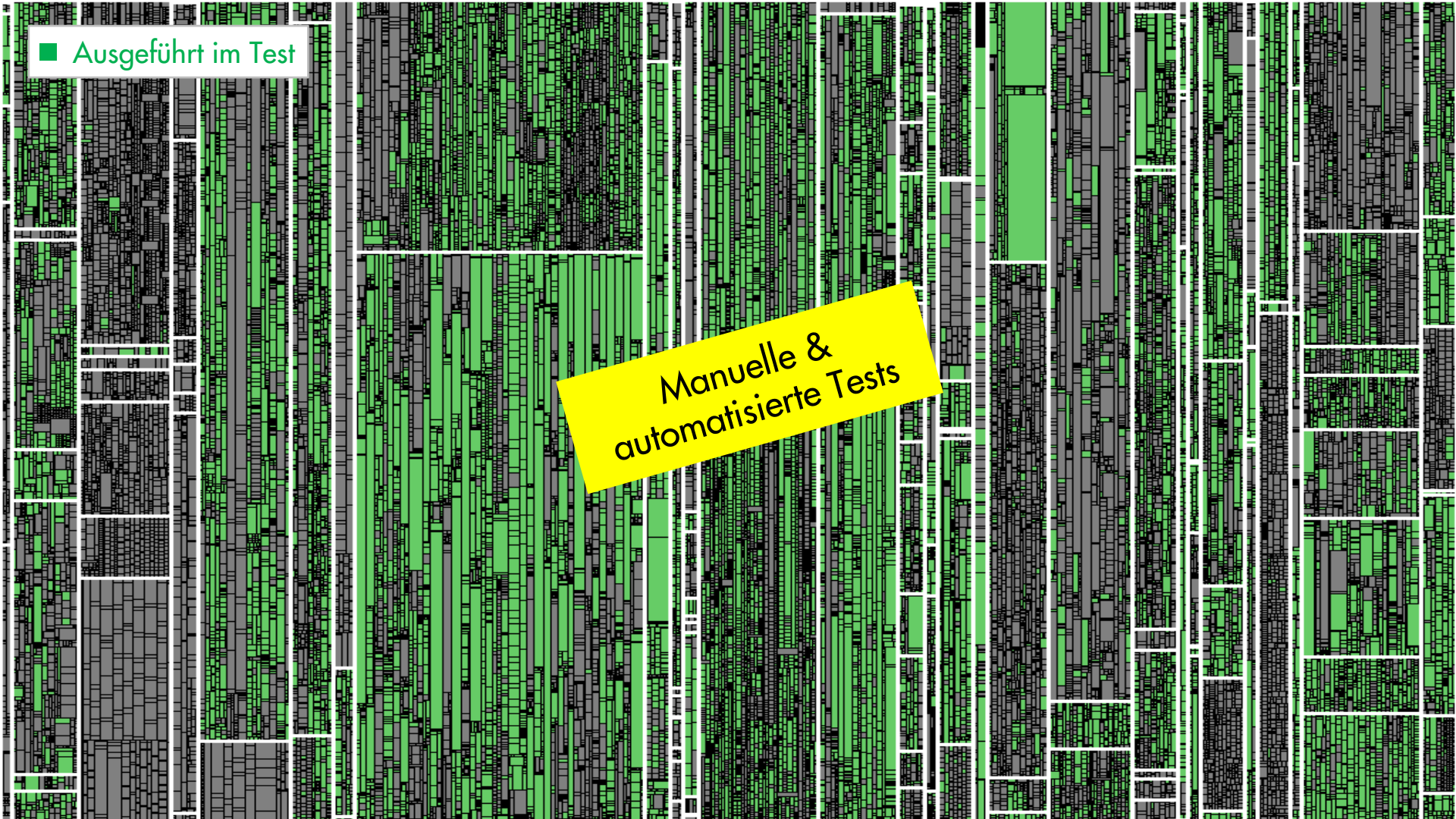


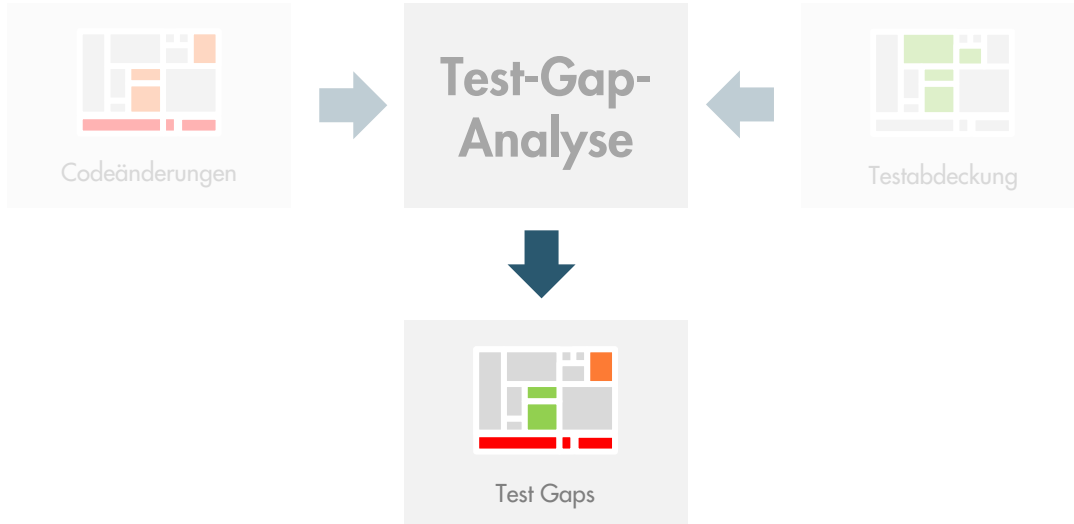




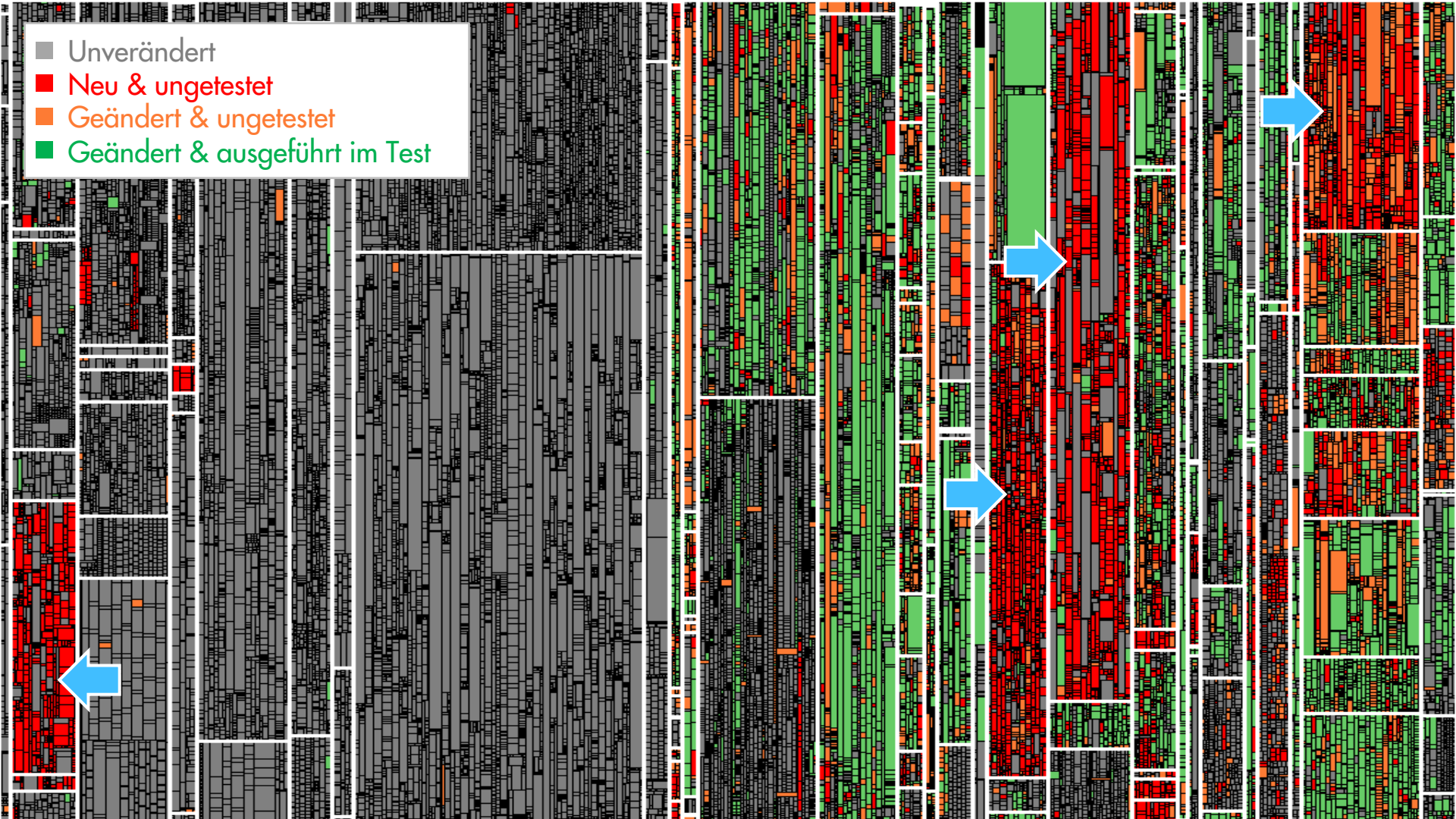
■ Ausgeführt im Test

Manuelle &
automatisierte Tests

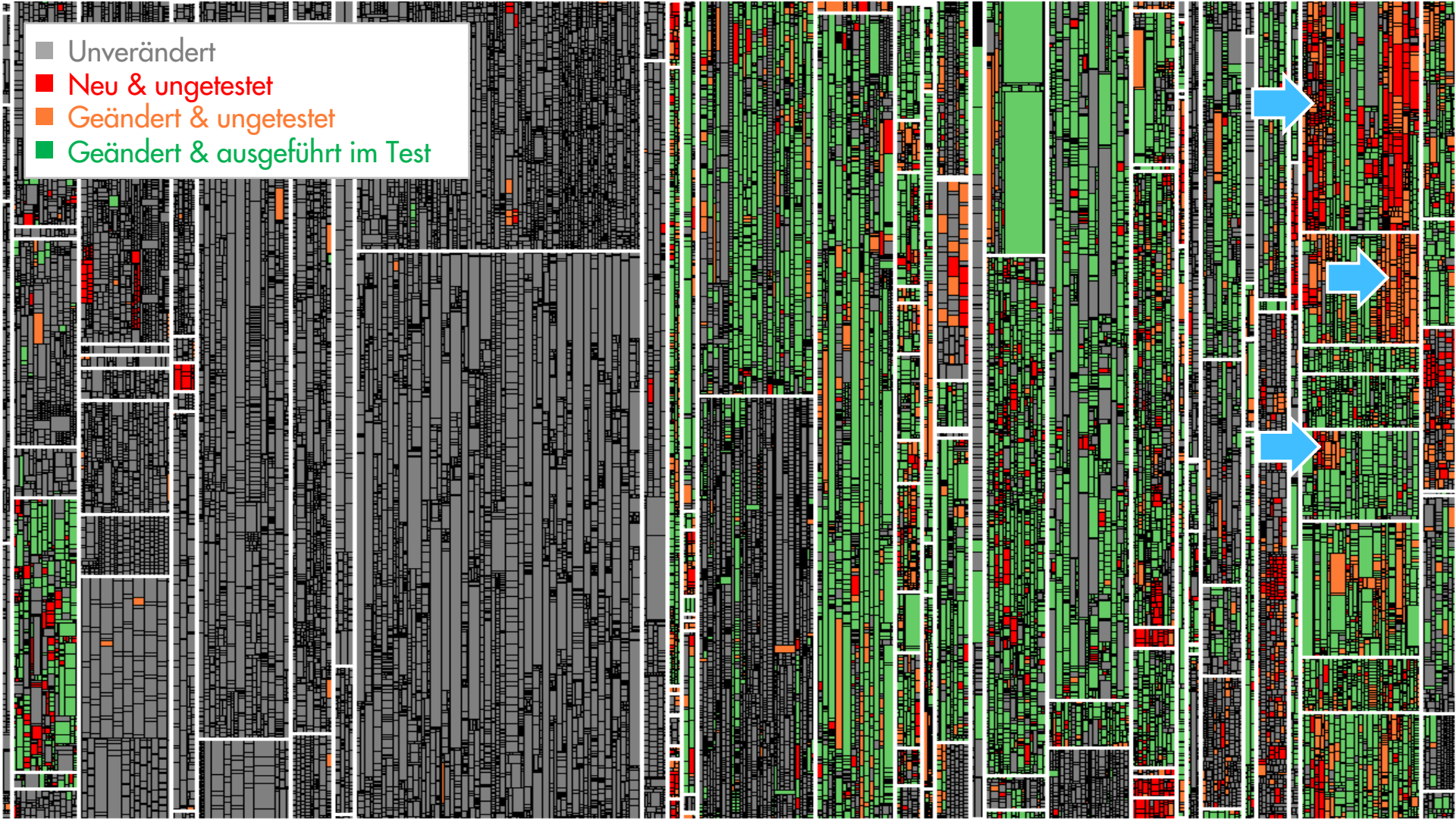




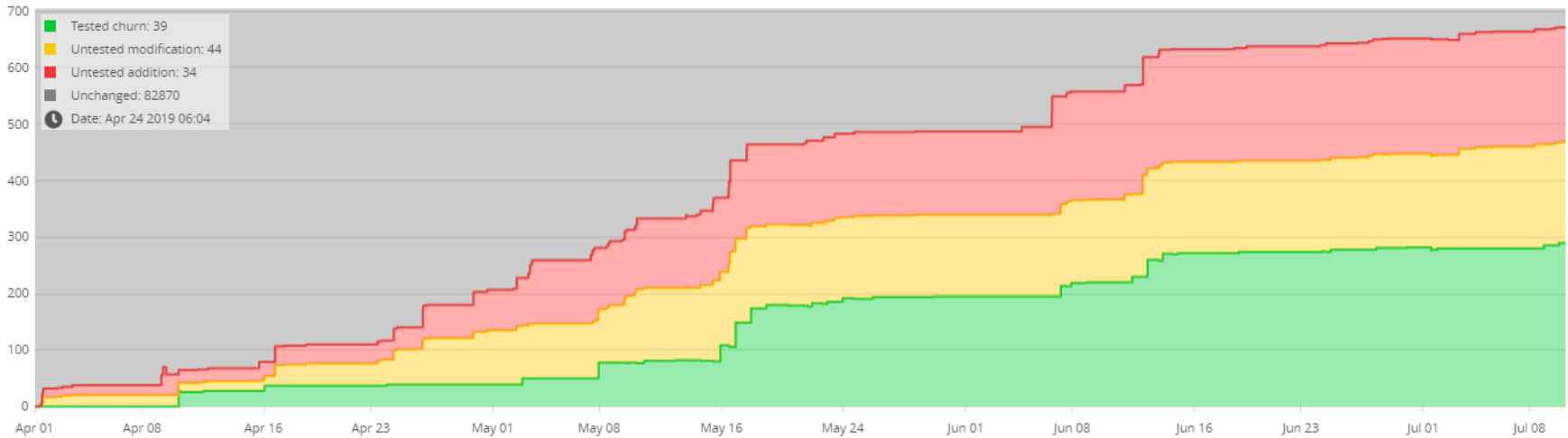
- Unverändert
- Neu & ungetestet
- Geändert & ungetestet
- Geändert & ausgeführt im Test



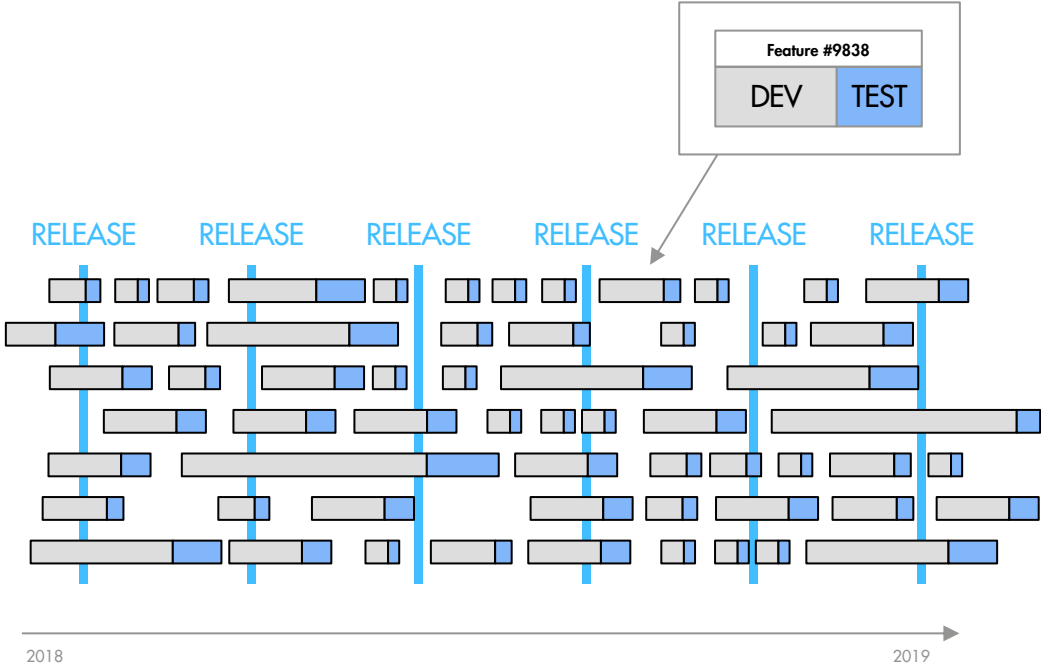
- Unverändert
- Neu & ungetestet
- Geändert & ungetestet
- Geändert & ausgeführt im Test



Zeitlicher Verlauf: Trends



Entwicklungsbegleitender Test



- Dashboard
- Activity
- Commits
- Merge Requests
- Issues
- Findings
- Metrics
- Test Gaps
- Quality Control
- Audit
- Architecture
- Delta
- Project Configuration
- Admin

Done Issue TS-23282 - clang-tidy causes SIGSEGV on C++ project (rewrite clang-tidy integration from JNI to call-in-new-process)

Creator: Nils Kunze (on May 28 2020 12:32)

Updated Aug 10 2020 11:23

Assignee: Alexander von Rhein

project	Type	Priority	Resolution	Fix Version	Component
TS	Bug	High	Green	Teamscale 6.1	Backend
Labels	Affected Version	Customer	Customer Issue	Dev Squad	Epic Name
long-runner	6.0 RC3			Denali	
Freshdesk URL	Merge Request			PDash Task	QA-Contact
	https://git.cqse.eu/cqse/teamscale/-/merge_requests/8246			#4887	wilhelm

Description

Our clang-tidy integration can lead to Teamscale crashes because the clang-tidy tool sometimes (non-deterministic) causes segfault errors. Since we execute clang-tidy via JNI in the same process as Teamscale, this segfault tears Teamscale down.

The concrete segfault appears in clang-tidy 9.0.2 (which we integrate currently) and has probably been fixed in clang-tidy 10.0.0. <https://github.com/lvm/lvm-project/commit/728972facc1fce9589fab9803e3e8fad01891c#diff->

[read more](#)

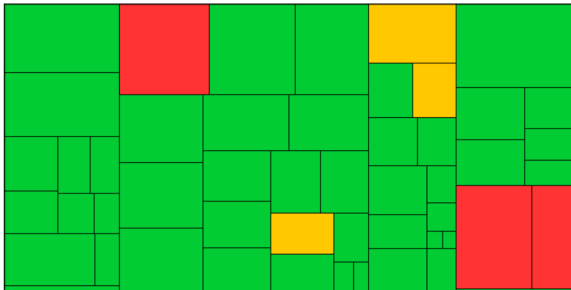
⌵ Affected files **1046**

⌵ Test Gaps

Auto-select issue branch Auto-selected: cr/23282_reimplement_clang_tidy_integration

Jun 16 2020 13:47-Now | Test Gap: 100%

Coverage sources: **All**



⌵ Findings **12**

⌵ Commits **44**

- Dashboard
- Activity
- Findings
- Metrics
- Test Gaps**
- Files
- Components
- Issues
- Quality Control
- Audit
- Architecture
- Delta
- Project Configuration
- Admin

Select from a total of 16831 issues:

Issues: Bug Fix Day 9.06.20

Auto-select issue branch (Automatically selected)

All issues Coverage sources: All

Found 210 issues matching your query

Test Gap over all matching issues: 34%

ID	Subject		# Changes	Test Gap
TS-23445	GitChangeRetriever stuck in branch labeling for 10-15 minutes	Done	11	0%
TS-23460	TestImpactSynchronizer still runs OOM	Done	47	4%
TS-23547	Slow analysis progress due to long labeling	Done	8	13%
TS-23501	Security: XML External Entity vulnerability in architecture uploads	Done	7	29%
TS-23599	Potentially swallowed exception in AnalysisReportPersister	Discarded	3	33%
TS-23576	Force Rollback UI broken	Done	3	33%
TS-23446	Python architecture analysis handles late addition of __init__.py file incorrectly	Done	3	33%
TS-23450	JIRA-Integration: Duplicated Table Rows, even for the same project	Done	2	50%
TS-23458	Audit search appears to ignore line breaks	Done	3	67%
TS-23558	External Upload view doesn't load due to JSON error	Done	30	77%

Teil 2:

Herausforderungen bei der Einführung

Herausforderung: Gewachsene Systeme



- C++
- ca. 15 Entwickler
- 4,2 Millionen LOC

- Alter einiger Stellen > 20 Jahre
 - Struktur „gewachsen“
 - unterschiedliche Testbarkeit



- C++
- ca. 10 Entwickler

- Begleitung von Anfang an

Zielstellungen



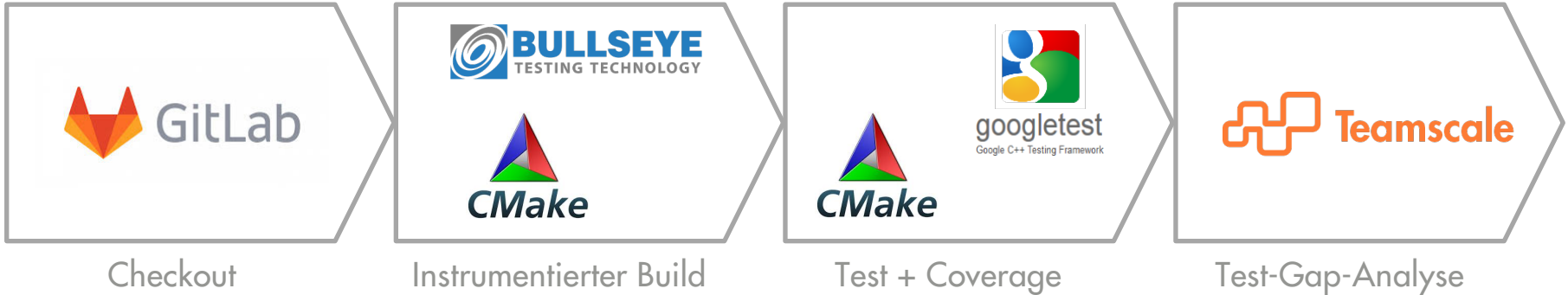
- neuen Code mit Tests durchlaufen
- geänderten Code (wenn möglich) mit Tests durchlaufen



- Weitreichende Code-Abdeckung



Durchgängige Automatisierung



Benachrichtigung über E-Mail

trutopsautotest, TW547 Staudt, Stefan

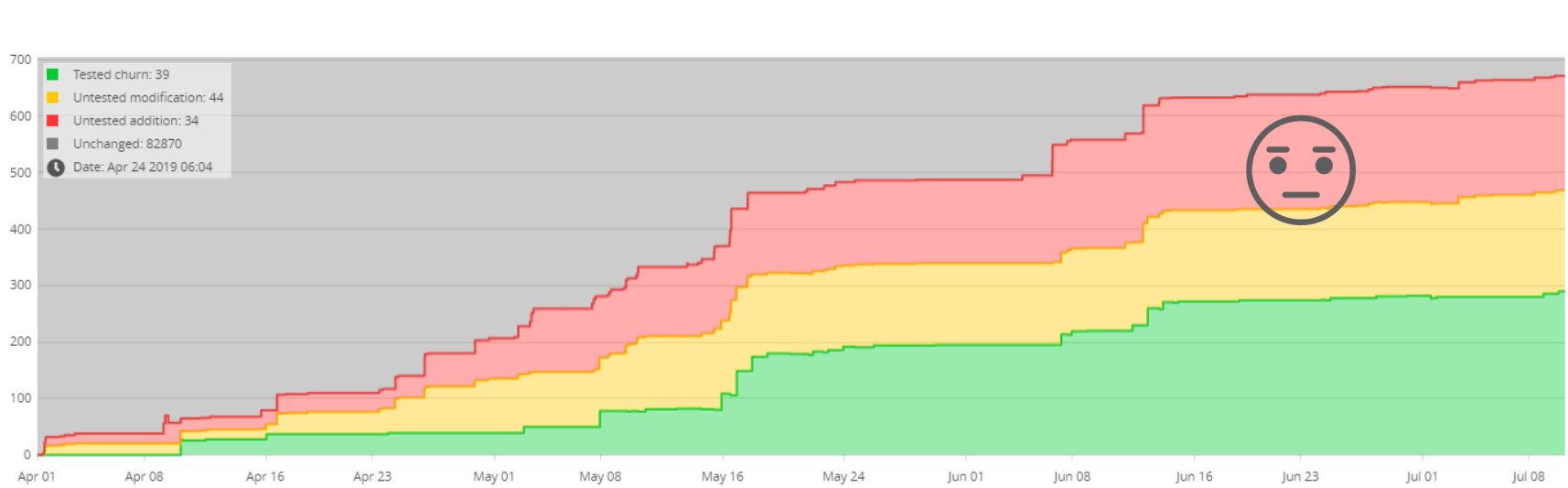
tapalietizing 15 Tested changes 0 Untested additions 0 Untested modifications from 16.09.2019 11:21:19 to 16.09.2019 15:18:52

Untested Methods

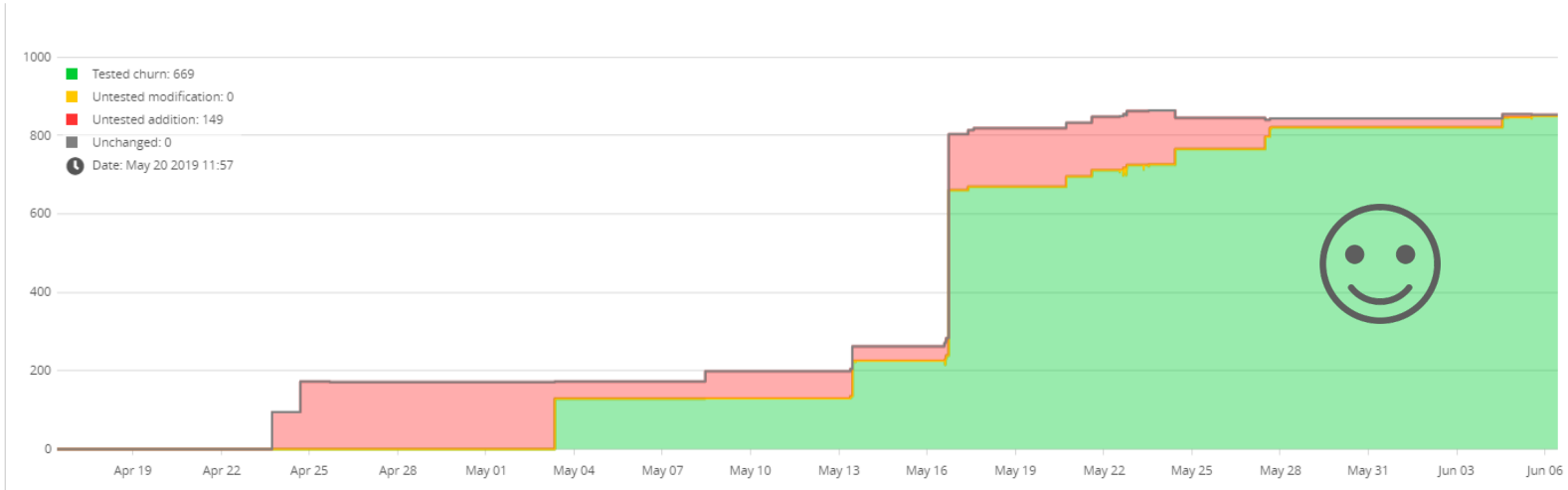
TestState FileName Name StartLine Responsible Date RepositoryPath

Tested Methods

TestState	FileName	Name	StartLine	Responsible	Date	RepositoryPath
Tested	churn:TCListTableDataSetValueSetter.cpp		33		16.09.2019 15:15:52	
Tested	churn:TCListTableDataSetValueSetter.cpp		90		16.09.2019 15:15:52	
Tested	churn:TCPartsPalletDataDescriptionGetter.cpp		46		16.09.2019 15:15:52	
Tested	churn:TCPartsPalletDataDescriptionGetter.cpp		100		16.09.2019 15:15:52	
Tested	churn:TCReadFromList.cpp		302		16.09.2019 15:15:52	
Tested	churn:TCWriteToList.cpp		269		16.09.2019 15:15:52	
Tested	churn:TCWriteToList.cpp		498		16.09.2019 15:15:52	
Tested	churn:TCWriteToList.cpp		207		16.09.2019 15:15:52	
Tested	churn:TCWriteToList.cpp		572		16.09.2019 15:15:52	
Tested	churn:TCWriteToList.cpp		634		16.09.2019 15:15:52	
Tested	churn:TCWriteToList.cpp		447		16.09.2019 15:15:52	
Tested	churn:TCWriteToList.cpp		191		16.09.2019 15:15:52	
Tested	churn:TCWriteToList.cpp		182		16.09.2019 15:15:52	
Tested	churn:TCWriteToList.cpp		552		16.09.2019 15:15:52	
Tested	churn:TCWriteToList.cpp		43		16.09.2019 15:15:52	



- Transparenz führt zu Schließen von Test Gaps
- Neuer Code noch nicht ausreichend abgedeckt



→ Test Gaps werden geschlossen

Fazit



- Testabdeckung gesteigert
 - Ziel (noch) nicht erreicht
- Ziel erreicht
-
- Einstieg bei Neuprojekten viel einfacher
 - Gehen Sie auf die Entwickler beim Projektstart zu

Herausforderung: Einfluss der Instrumentierung



- Besonders Performance ist relevant (je nach Sprache)
- Wichtig, wenn Zeitverhalten eine Rolle spielt
- Bei Timeouts kann sich auch das Verhalten ändern



- Testergebnisse mit „normalen“ Binaries gegenprüfen
- Ursachensuche bei Abweichungen extrem schwierig

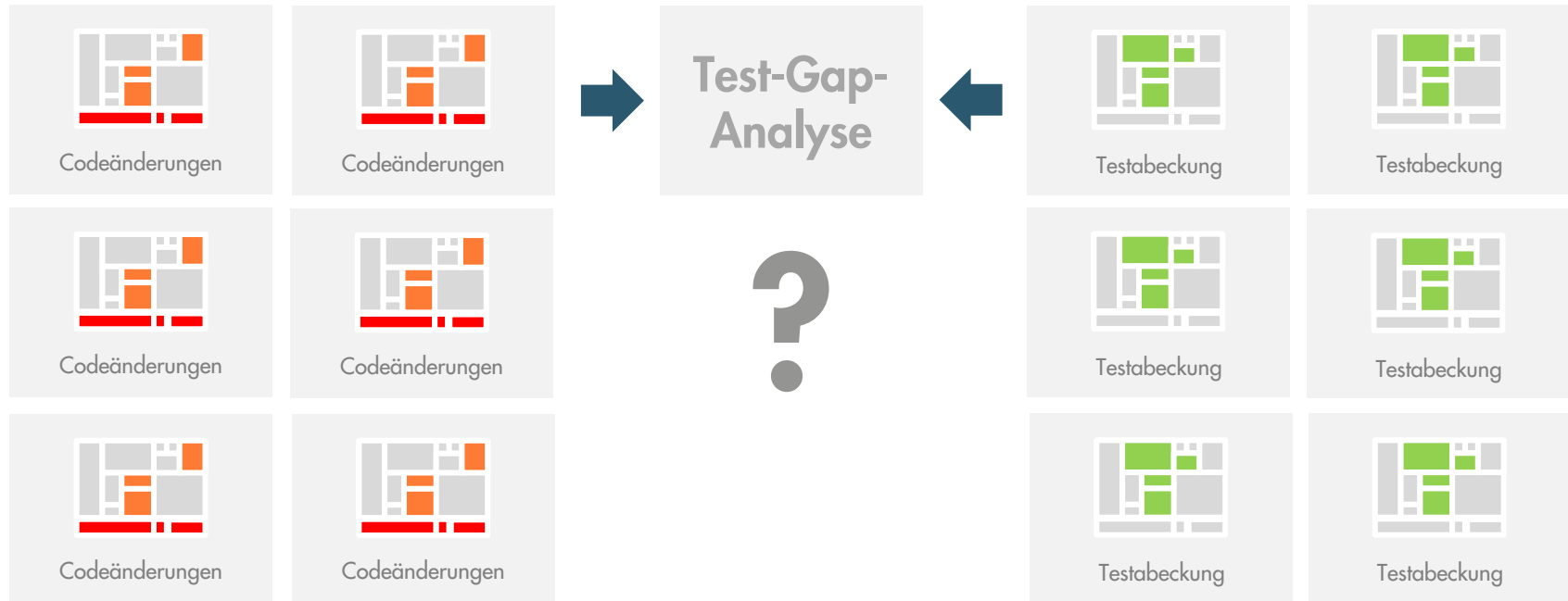


- Auswahl des Profilers sehr individuell
- Fazit von Trumpf Werkzeugmaschinen: cqse.eu/tga-trumpf

Herausforderung: Microservices

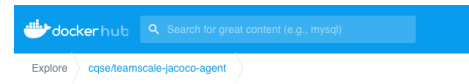
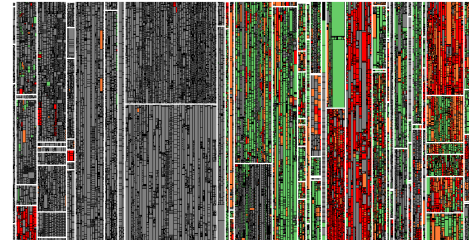


Herausforderung: Microservices



Herausforderung: Microservices

- Viele separate **Repositories**
 - Eine **Gesamtsicht** über Repositories hinweg
- Viele unabhängige **Executables**
 - Java + Docker: **Fertiges Docker-Image**
- Viele **einzelne Uploads** für verschiedene Stände
 - **Performance-Optimierungen** durchgeführt



cqse/teamscale-jacoco-agent ☆

By cqse · Updated a month ago

Teamscale JaCoCo Agent

Container

Analysis Progress

Project cqse-all

1598521

151146d

1598852

0d84985

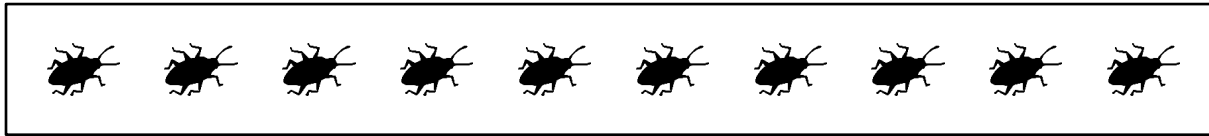
17b9d74

Project cqse-all-java-default

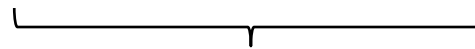
3de77f6

Teil 3:

**Kosten-Nutzen
der Test-Gap-Analyse**

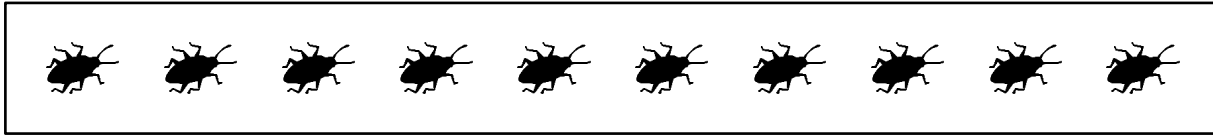


Test

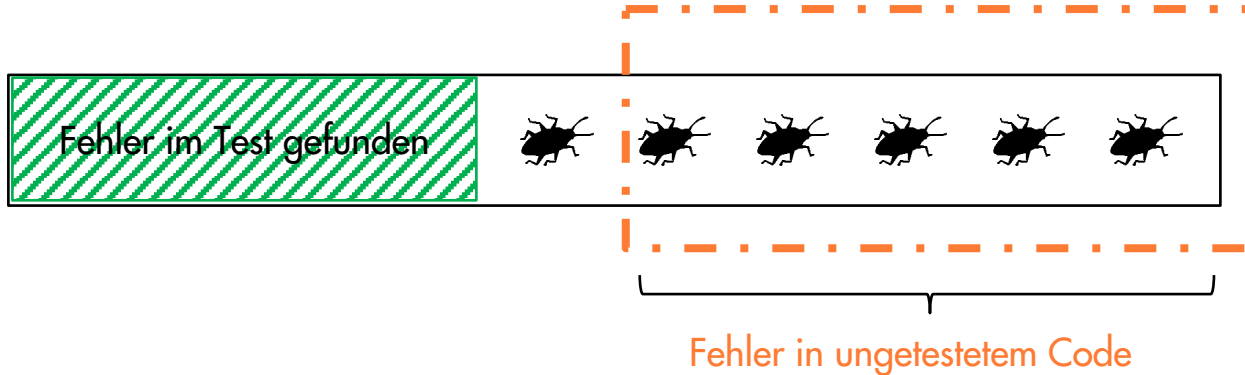


%Restfehler

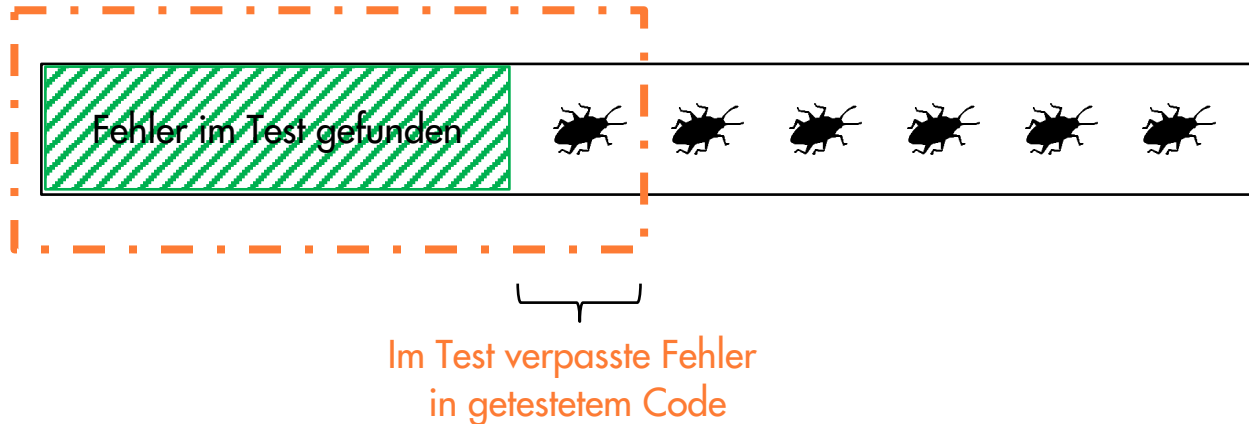
$$\% \text{Restfehler} = \% \text{Getestet} * \text{Testineffektivität} + \% \text{Testgap}$$



$$\% \text{Restfehler} = \% \text{Getestet} * \text{Testineffektivitat} + \% \text{Testgap}$$



$$\% \text{Restfehler} = \% \text{Getestet} * \text{Testineffektivitat} + \% \text{Testgap}$$



Did We Test Our Changes? Assessing Alignment between Tests and Development in Practice

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Maximilian Junker
Technische Universität München, Germany

Einar Jørgensen
CGSE GmbH,
Germany

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Mantic Re Group,
Germany

Abstract—Testing and development are increasingly performed by different organizations, often in different countries and time zones. Since their different communication, close alignment between development and testing becomes increasingly challenging. Unfortunately, poor alignment between the two threatens to decrease their effectiveness or increase costs. In this paper, we propose a conceptually simple approach to assess test alignment by answering methods that were changed but not executed during testing. The paper's contribution is a large industrial case study that analyzes development changes, test service activity and field bugs in an industrial business information system over 14 months. It demonstrates that the approach is suitable to produce meaningful data and dynamic alignment in practice.

Index Terms—Software testing, software maintenance, dynamic analysis, test code

I. INTRODUCTION

A substantial part of the total life cycle costs of long-lived software systems is spent on testing. In the domain of business-information systems are maintained for two or even three decades. For such systems, a substantial part of their total lifecycle costs is spent on testing to make sure that new functionality works as specified, and—equally important—that existing functionality has not been impaired.

During maintenance of these systems, test case effectiveness is crucial. Ideally, each test cycle should validate all implemented functionality. In practice, however, available resources limit each test cycle to a subset of all available test cases. Since selection of test bugs to be analyzed is often based on test coverage, this selection process is central for test effectiveness.

II. RELATED WORK

The proposed approach is related to the fields of defect prediction, selective screening, test case prioritization, and test case coverage metrics. The most important difference to the named topics is the simplicity of the proposed approach and the fact that the change coverage metric has extended subsets of test cases, but does not give us hints to improve them.

Defect prediction is related to our approach because we identify code regions that were manually changed but remained untested, with the expectation that there are more field bugs.

thereof useful for maintainers and testers to identify relevant parts in their test coverage.

B. Study Object

We perform the study on a business information system at Mantic Re. The analyzed system was written in C# and its size is 340 KiLOC. In total, we analyzed the system for 14 months. The system has been successfully in use for nine years and is still actively used and maintained. Therefore, there is a well implemented bug tracking and testing strategy. This allows us to gain precise data about which parts of the system were changed and why they were changed.

We analyzed two consecutive releases of the system. Release 1 was developed in five iterations in two months, and release 2 was developed in ten iterations in four months. Both releases were deployed to the productive environment due to hot fixes five times and were in productive use for six months. This tool deployment may concern several bugs and changes in the system. The system contained 22123 (release 1) respectively 22712 (release 2) methods.

For both releases, test suites containing 65 system test cases covering the main functionality were executed three times. We conducted manual inspections to ensure that every bug that is identified by our tool support is indeed a bug. To confirm the correctness of method genologies we held based on locality and signatures, we conducted manual inspections of randomly chosen method genologies. We found no false genologies and have therefore a high confidence in the correctness of our technique. We also used the algorithm in our former work [17], which provided suitable results as well.

C. Study Design and Execution

For all research questions, we classify methods according to the categories shown in Figure 2: Tested or untested, changed or unchanged, and whether methods contain field bugs.

RQ 1: Untested methods account for 34% in both releases we analyzed. 19% of all methods were changed during the development phase of the system, also in both releases. The equality of the numbers for both releases is a coincidence.

RQ 2: We found 21 cases in release 1 and 10 cases in release 2. The distribution of the bugs over the different change and coverage categories of methods is shown in Table 1. The biggest part of bugs occurred in methods categorized as changed/untested with 43% of all bugs in release 1 and 40% of all bugs in release 2. In both releases, there are considerably less bugs in unchanged regions than in changed regions.

The probabilities of bugs are shown in Figure 3. With 0.53% in release 1 and 0.21% in release 2, the probability of bugs is higher in the group of methods that were changed/untested. This confirms that tested code or code that was not changed in the development phase is less likely to contain field defects.

E. Discussion

RQ 1: With 15% of all methods being changed and 34% of all methods being not tested, untested code and changed code play a considerable role in the analyzed system. The high amount of changed methods results from newly developed methods. The untested code that was added during the development phase of both releases.

There are several models to detect prediction [5]. In contrast to these models, we measure only changes in the system and the coverage by tests and do not predict bugs, but assess test suites and use the probability of bugs in changed, but untested code as validation of the approach.

The proposed approach is related to [6], which uses metrics of changes “change buses” to predict bugs. The good results that were achieved by using change data for defect prediction encourage us to combine similar data with testing efforts.

Selective screening techniques target the selection of test cases from changes in source code and coverage information [7], [8], [9].

In contrast to these approaches, the paper at hand focuses on the assessment of already executed test suites, because often experts decide which tests to execute to cover most of the changes made to a software system [10]. However, their estimations contain inaccuracies and therefore possibly miss some changes. Our approach aims at identifying the resulting untested code regions. Therefore, our approach can only be used if testing activities were already performed.

Compared to [11], we are validating our approach by measuring field defects, and do not take defects into account that were found during development.

Test coverage metrics give an overview of what is covered by tests. Much research has been performed in these topics [12] and there is a plethora of tools [13] and a number of metrics available, such as statement, branch, or path coverage [14]. In contrast to these metrics, we focus on the more coarse grained method coverage. Furthermore, we do not only consider static properties of the system under test, but changes.

Empirical studies on related topics focus to the best of our knowledge mainly on the effectiveness of test case selection or prioritization techniques [9], [15]. In our study, we assess test suites by their ability to cover changes of a software system, but do not consider sub sets of test cases.

III. CONTEXT AND TERMS

In this work, we focus on system testing according to the definition of IEEE Std 61912.1990 [16] to denote “*testing conducted on a complete, integrated system to evaluate the system's conformance to its specified requirements*”. System tests are often used to detect bugs in existing functionality after the system has been changed. In our context, many tests are executed manually and denote in natural languages.

Our study uses methods as they are known from programming languages such as Java or C#. Methods form the primary unit of study and can be regarded as units of functionality of a software system. They are defined by a signature and a body, which are differentiated into *method genologies*. In our study, we create *method genologies* which represent the evolution of a single method over time. A genology connects all releases of a method as chronological order in the analyzed system.

In the context of our work, the life cycle of a software system consists of two alternating phases (see Fig. 1). In the *development phase*, code changes are made and maintained.

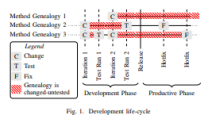


Fig. 1. Development life-cycle

as new features are developed. Development usually occurs in iterations which are followed by *test runs* which are the execution of a selection of tests aiming to test regressions as well as the changes of added code. A *Development Phase* is completed by a *release* which transfers the system into the *productive phase*. In the productive phase, functionality is usually neither added nor changed. If critical malfunctions are detected, hot fixes are deployed in the productive phase.

We consider a method as *tested* if it has been executed during a test run. If a method has been changed or added and been tested afterwards before the system is released we consider it as *changed/tested*. If a method change or addition has not been tested before the system is transferred in the productive phase, we consider the method as *changed/untested* (see genology 1 and 3 in Fig. 1).

IV. CHANGE COVERAGE

To quantify the amount of changes covered by tests, we introduce the metric *change coverage* (CC). It is computed by the following formula and ranges between [0,1].

$$change\ coverage = \frac{method\ changed\ covered}{method\ changed}$$

A change coverage of 1 (CC=1) means that all methods which have been changed since the last test run have been tested after a test run. On the contrary, a coverage of 0 (CC=0) indicates that none of the changed methods have been covered by a test.

V. CASE STUDY

The goal of this study is to show whether change coverage is a useful metric for assessing the alignment between tests and development. We formulate the following research questions.

RQ 1: How much code is changed, but untested? The goal of this research question is to investigate the existence of change, but untested code, to justify the presence of untested code. Therefore, we quantify changed and untested code by means of using rather complex mechanisms to derive *field bugs* than *unchanged or untested methods*. The goal of this research question is to decide whether change coverage can be used as a predictor for bugs in large code regions and if so

TABLE I
DISTRIBUTION OF TESTS OVER THE DIFFERENT CATEGORIES

Category	Release 1		Release 2	
	Absolute	Relative	Absolute	Relative
changed/tested	5	27%	3	40%
changed/untested	10	47%	4	40%
unchanged/untested	0	0%	0	0%
unchanged/tested	0	0%	2	30%

43% respectively 40% of the changed methods were not tested in the analyzed system. These high numbers also result from features that are newly developed during the development phase. For these new features, there was only a very limited number of test cases.

RQ 2: With a probability of bugs, this group of methods contains most of the bugs. This means that the system itself contains few bugs at the current stage of development and bugs are brought into the system by changes.

Furthermore, the probability of bugs in untested code is, in both releases, less than half of the probability in changed/untested code. Hence, we conclude that only considering test coverage is not as efficient as considering change coverage. The probability of bugs in changed code regions is also considerably higher than in untested regions. But the combination of both metrics, test coverage and changed methods points to code regions that are more likely to contain bugs than others.

Is Change Coverage Helpful in Practice? We employed the proposed approach also in the context of Mantic Re on currently running development phases. We showed the results to developers and testers by presenting code units, listing types of assemblies affected by change coverage. During the discussion of the results, we conducted open interviews with developers to gain knowledge about how helpful information about change coverage for maintenance and testing.

Developers identified meaningful methods in changed but untested regions by using the static call graph to find methods they knew. With these methods, the developers were able to identify features that remained untested. First example: the processing of excel sheets in a particular calculation was changed, but remained untested afterwards. In this case, among others, the re-occurrence of particular test cases and the creation of new test cases were initiated. This increased the change coverage considerably for the code regions where the features are located. This shows that change coverage is helpful for practitioners.

VI. CONCLUSION AND FUTURES WORK

We presented an automated approach to assess the alignment of test suites and changes in a simple and understandable way instead of using rather complex mechanisms to derive code units that will be subject to changes. We are focusing on the question of how to validate untested methods and metrics from these methods. The results show that the use of

change coverage is suitable for the assessment of the alignment of testing and development activities.

Change coverage is suitable for guiding testing during the testing process. With information about change coverage, testing efforts can be assessed and reduced if necessary, because the probability of bugs is increased in changed/untested methods. Furthermore, we presented our tool support that allows us to utilize our technique in practice. However, the number of bugs we found is too small to derive generalizable results. Therefore, we plan to extend our studies to other systems to increase external validity. But the first results that we presented in this work point out that the consideration of code regions that are modified, but not very well tested is important. This motivates future work on the topic and the inference of improvement goals.

One challenge is the identification of suitable test cases from code regions to give hints to testers and developers which test case to execute to cover more changed, but untested methods. Therefore, we plan to evaluate techniques related to trace link recovery to bridge the gap to test cases.

REFERENCES

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Wo treten Fehler in Produktion auf?

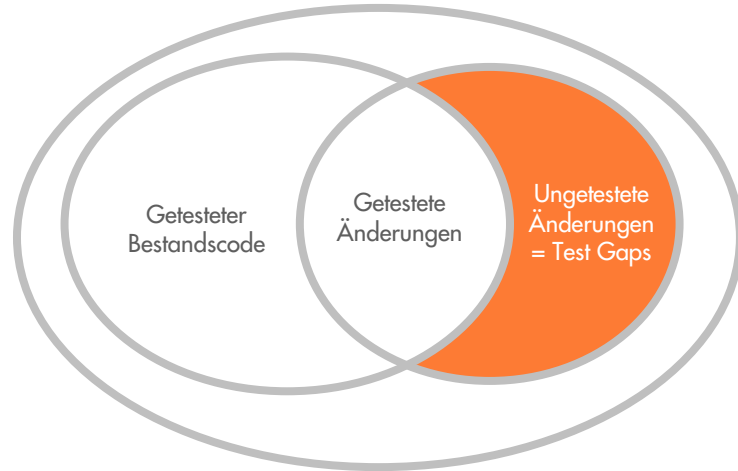
Studie: C# System

Release A:

15% Code neu/geändert,
>50% ungetestet

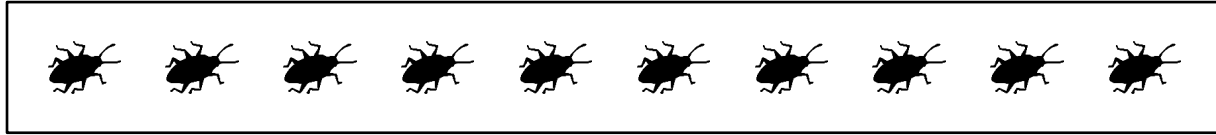
Release B:

15% Code neu/geändert,
>60% ungetestet

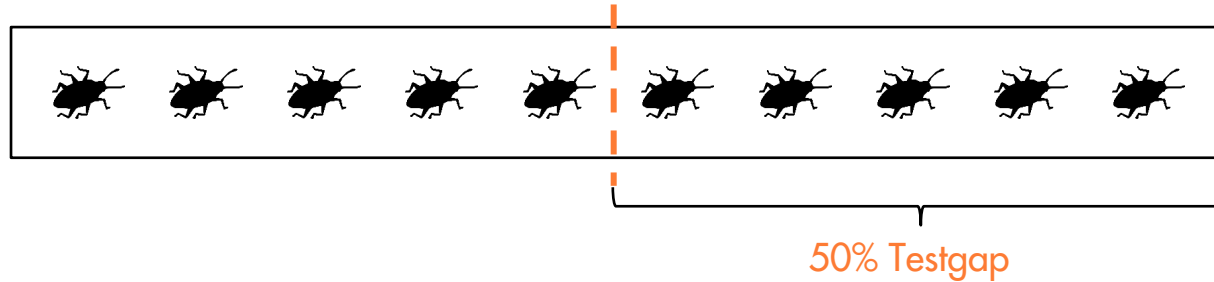


Feldfehlerwahrscheinlichkeit 5x höher für ungetestete Änderungen!

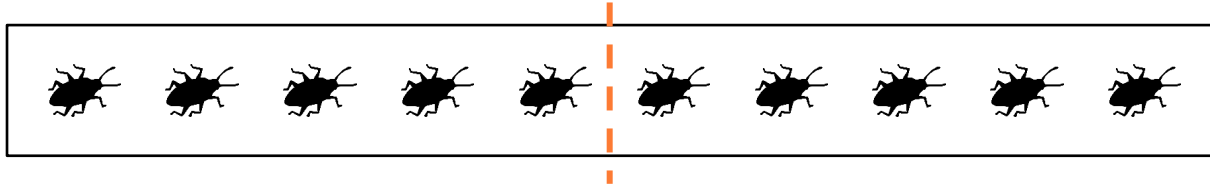
$$\% \text{Restfehler} = \% \text{Getestet} * \text{Testineffektivität} + \% \text{Testgap}$$



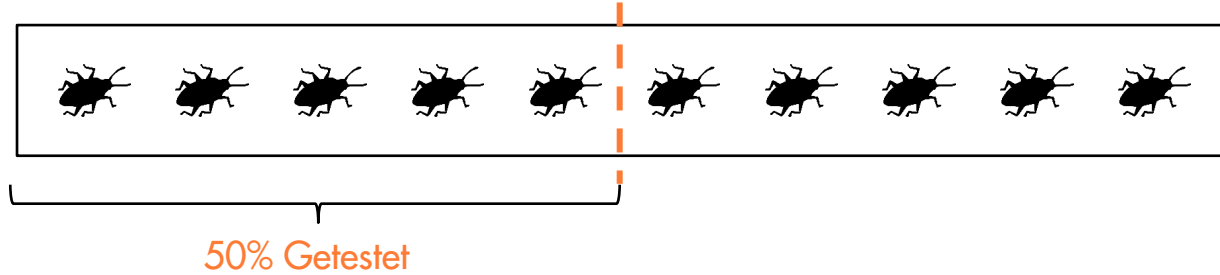
$$\% \text{Restfehler} = \% \text{Getestet} * \text{Testineffektivitat} + 50\%$$



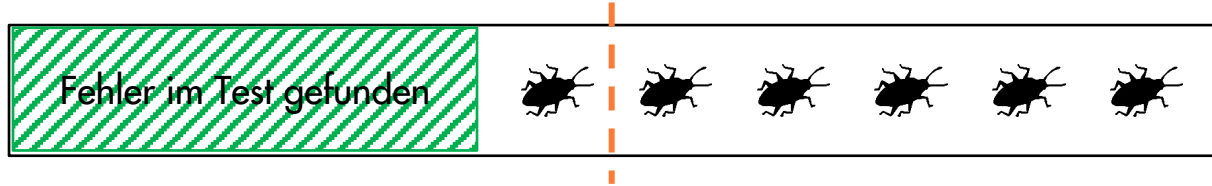
$$\% \text{Restfehler} = \% \text{Getestet} * \text{Testineffektivität} + 50\%$$



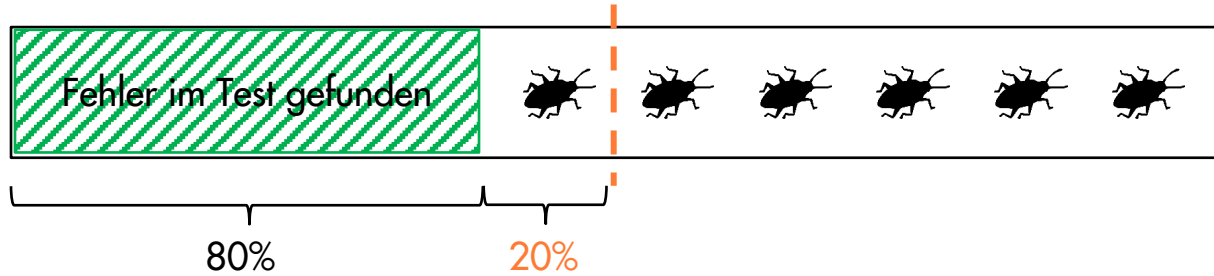
$$\% \text{Restfehler} = 50\% * \text{Testineffektivitat} + 50\%$$



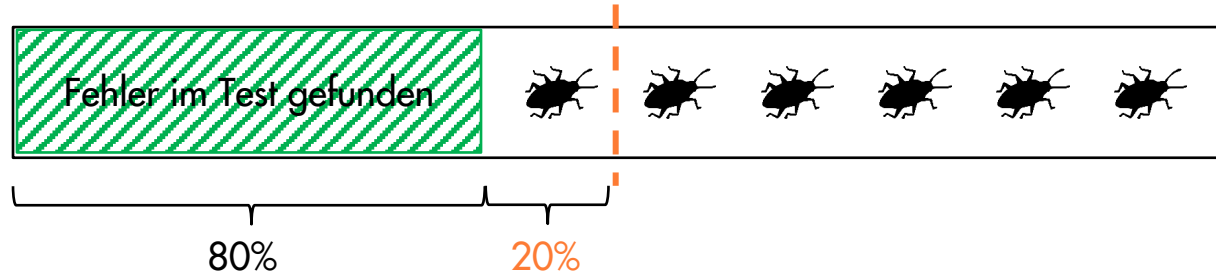
$$\% \text{Restfehler} = 50\% * \text{Testineffektivitat} + 50\%$$



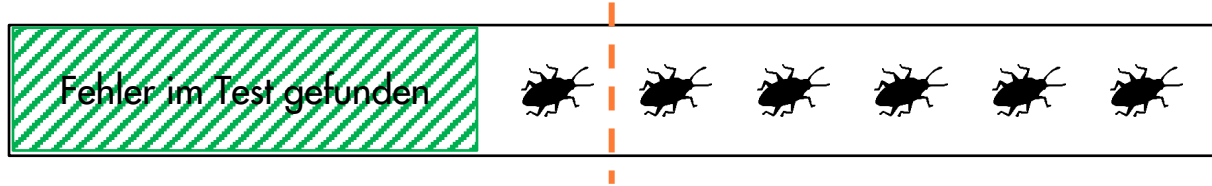
$$\% \text{Restfehler} = 50\% * \text{Testineffektivitat} + 50\%$$



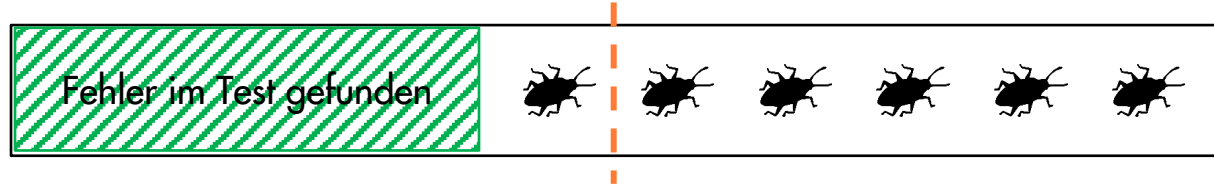
$$\% \text{Restfehler} = 50\% * 20\% + 50\%$$



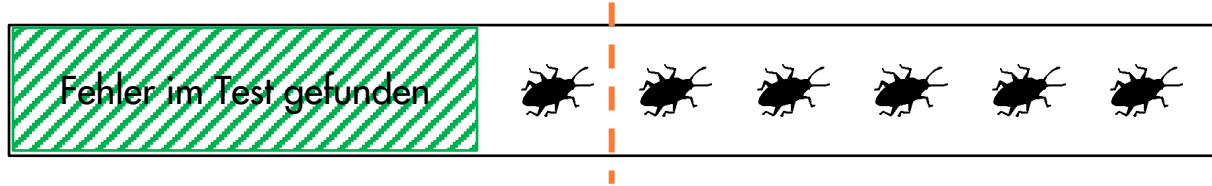
$$\% \text{Restfehler} = 10\% + 50\%$$



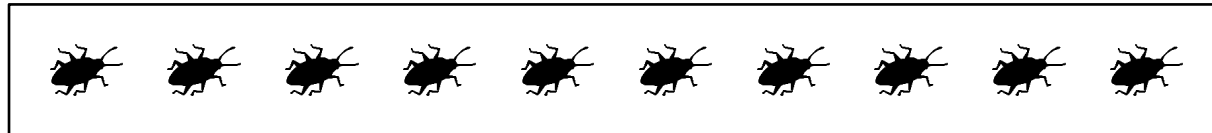
%Restfehler = 60%



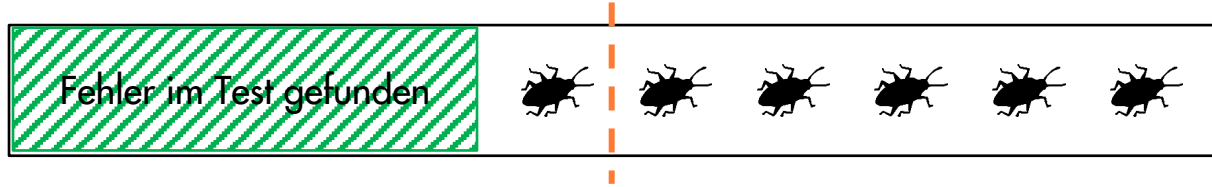
$\% \text{Restfehler} = 60\%$



$\% \text{Restfehler} = \% \text{Getestet} * \text{Testineffektivitat} + \% \text{Testgap}$



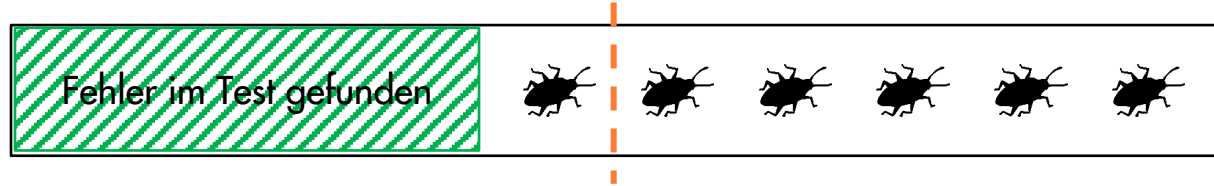
$\% \text{Restfehler} = 60\%$



$\% \text{Restfehler} = 90\% * \text{Testineffektivitat} + 10\%$



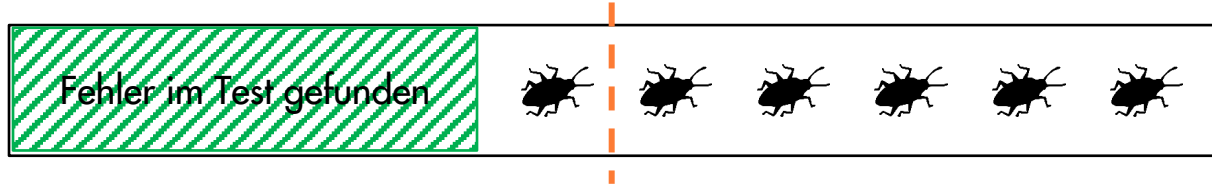
$$\% \text{Restfehler} = 60\%$$



$$\% \text{Restfehler} = 90\% * 20\% + 10\%$$



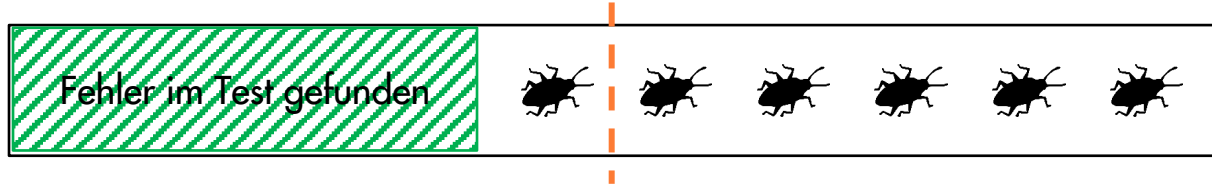
$\% \text{Restfehler} = 60\%$



$\% \text{Restfehler} = 18\% + 10\%$



$\% \text{Restfehler} = 60\%$



$\% \text{Restfehler} = 28\%$



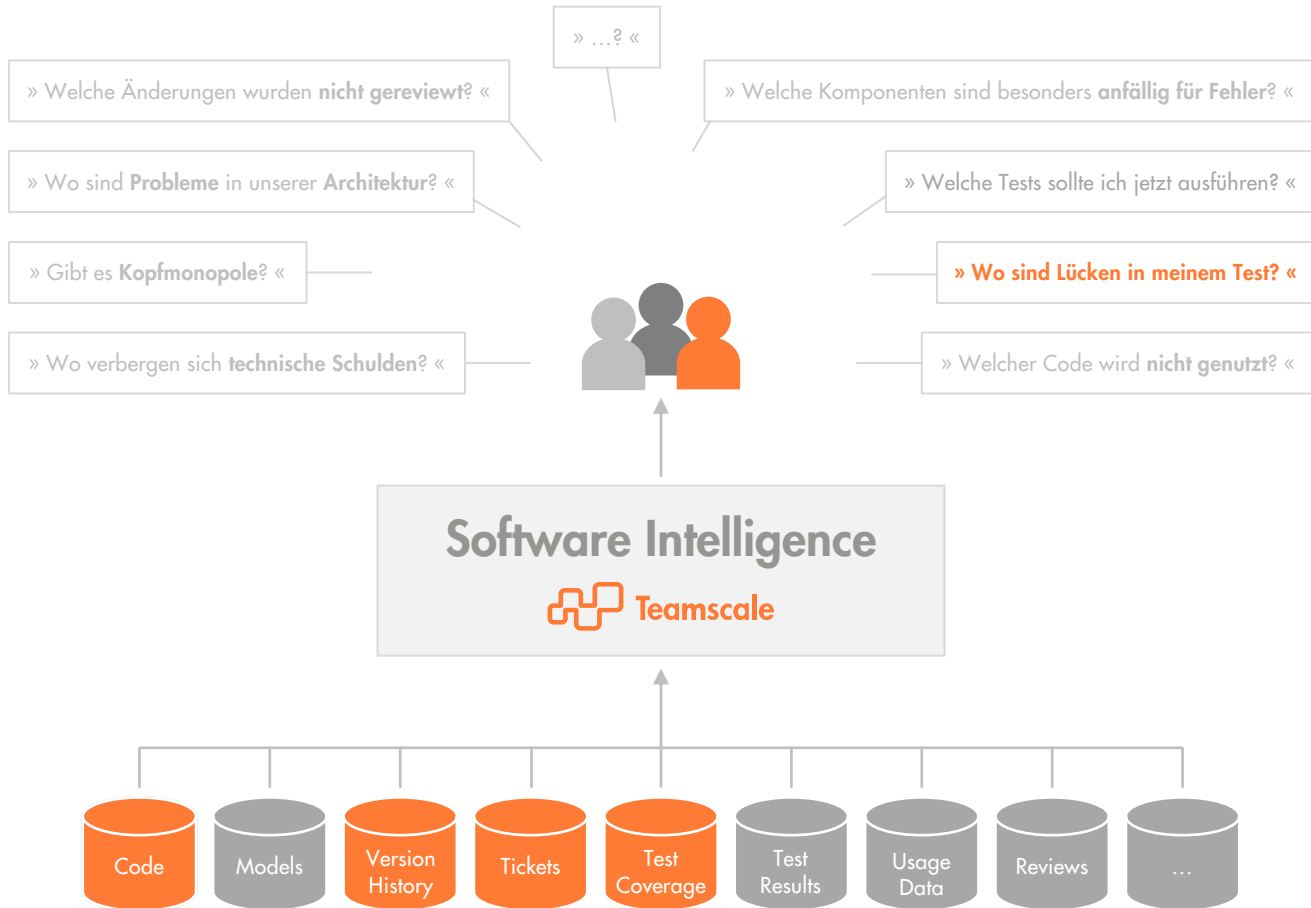
Reduzierte Feldfehler = 50%

Reduzierte Feldfehler = 50%

Test-Gap-Analyse reduziert Feldfehler in Applikationen der Munich Re um ½

Fazit zur Kosten-Nutzen-Betrachtung

- **Sichtbarmachen** von Qualität ist essentiell
- Werkzeuge **und Prozesse** sind wichtig
- Internes **Change Management** notwendig
- Deutlicher **positiver Effekt** beobachtbar
- Munich Re bringt Analysen international **in die Breite**
- Conformance Costs \ll Costs of Non-Conformance



Kontakt – Wir freuen uns auf Diskussionen!



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