



**BASTA!**  
**.NET, WINDOWS, JAVASCRIPT**

Dr. Elmar Juergens | CQSE GmbH & TU München

# Vom Wiegen wird die Sau nicht fett

Von Qualitätsanalyse zu wirksamer Qualitätsverbesserung

# Über Mich

## Forschung

- Clone Detection, Architekturanalyse
- Effektivität und Effizienz von QS-Maßnahmen



## Beratung

- Gründer
- Qualitäts-Bewertung & Qualitäts-Controlling



## Gesellschaft für Informatik

- Zum Junior-Fellow ernannt
- Erfahrungsaustausch Forschung <-> Praxis



XmlWellformedWriter.cs

```
if (localName == null || localName.Length == 0)
{
    throw new ArgumentException(SR.Xml_EmptyLocalName);
}
CheckNCName(localName);

AdvanceState(Token.StartElement);

// lookup prefix / namespace
if (prefix == null)
{
    if (ns != null)
    {
        prefix = LookupPrefix(ns);
    }
    if (prefix == null)
    {
        prefix = string.Empty;
    }
}
else if (prefix.Length > 0)
{
    CheckNCName(prefix);
    if (ns == null)
    {
        ns = LookupNamespace(prefix);
    }
    if (ns == null || (ns != null && ns.Length == 0))
    {
        throw new ArgumentException(SR.Xml_PrefixForEmptyNs);
    }
}
if (ns == null)
{
    ns = LookupNamespace(prefix);
    if (ns == null)
    {
        Debug.Assert(prefix.Length == 0);
        ns = string.Empty;
    }
}
```

XmlWellformedWriter.cs

```
if (string.IsNullOrEmpty(localName))
{
    throw new ArgumentException(SR.Xml_EmptyLocalName);
}
CheckNCName(localName);

AdvanceState(Token.StartElement);

// lookup prefix / namespace
if (prefix == null)
{
    if (ns != null)
    {
        prefix = LookupPrefix(ns);
    }
    if (prefix == null)
    {
        prefix = string.Empty;
    }
}
else if (prefix.Length > 0)
{
    CheckNCName(prefix);
    if (ns == null)
    {
        ns = LookupNamespace(prefix);
    }
    if (string.IsNullOrEmpty(ns))
    {
        throw new ArgumentException(SR.Xml_PrefixForEmptyNs);
    }
}
if (ns == null)
{
    ns = LookupNamespace(prefix);
    if (ns == null)
    {
        Debug.Assert(prefix.Length == 0);
        ns = string.Empty;
    }
}
```

```
// Utilities for arrays of elements
public String showElements(ModelElement[] elements, String nomsg) {
    boolean found = false;
    StringBuffer res = new StringBuffer();
    if (elements != null) {
        Index.getInstance().setCurrentRenderer(
            FlatReferenceRenderer.getInstance());
        for (int i = 0; i < elements.length; i++) {
            ModelElement el = elements[i];
            res.append(showElementLink(el)).append(HTML.LINE_BREAK);
            found = true;
        }
        Index.getInstance().resetCurrentRenderer();
    }
    if (!found && nomsg != null && nomsg.length() > 0) {
        res.append(HTML.italics(nomsg));
    }
    return res.toString();
}
```

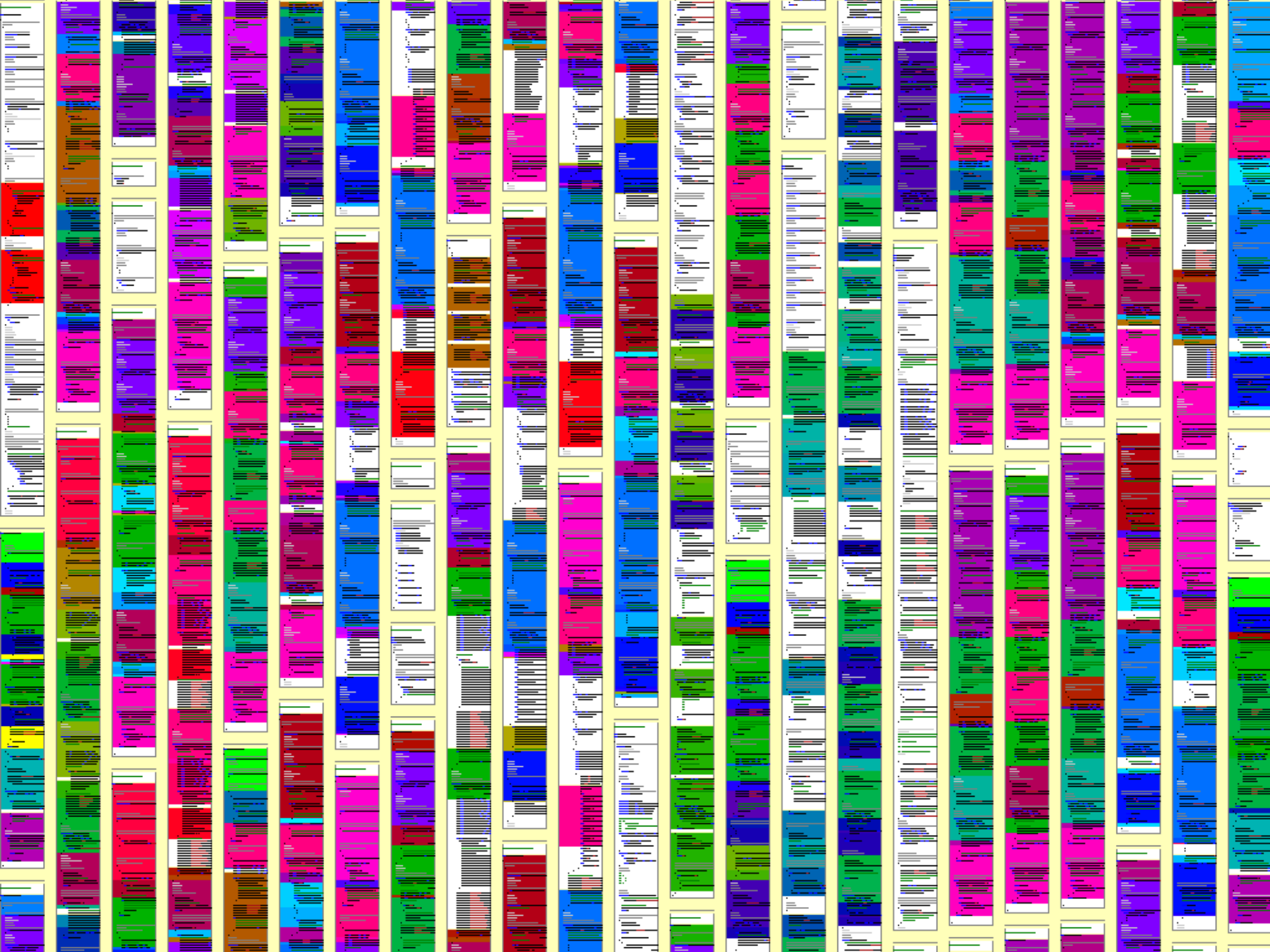
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            found = true;
        }
        Index.getInstance().resetCurrentRenderer();
    }
    if (!found && nomsg != null && nomsg.length() > 0) {
        res.append(HTML.italics(nomsg));
    }
    return res.toString();
}
```











## Studie

Munich Re  

- Über 100 Fehler in produktiver Software



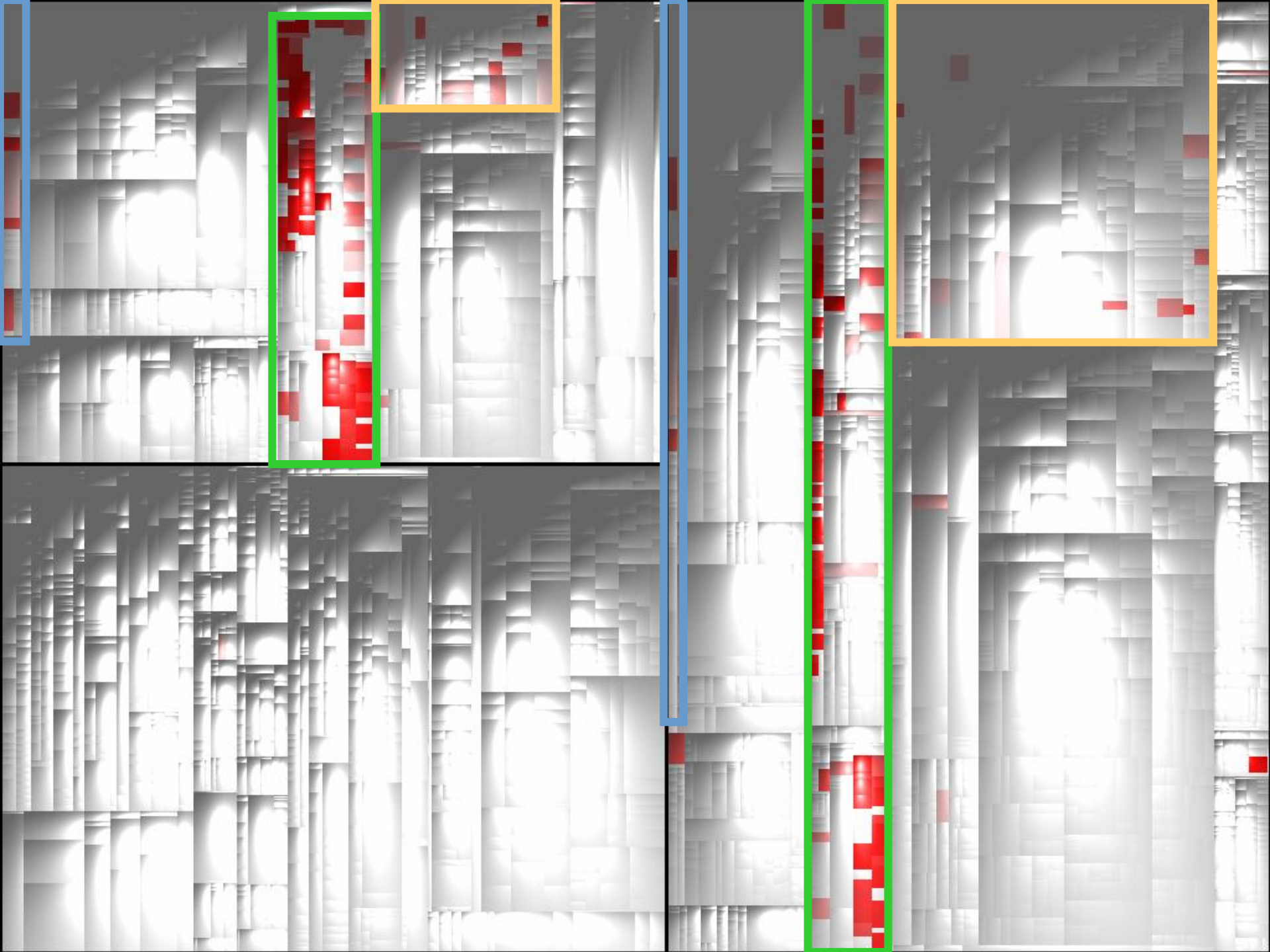
- 52% aller ungewollten Unterschiede fehlerhaft

Juergens, Deissenboeck et al: *Do Code Clones Matter?* ICSE 2009

375 kloc

430 kloc

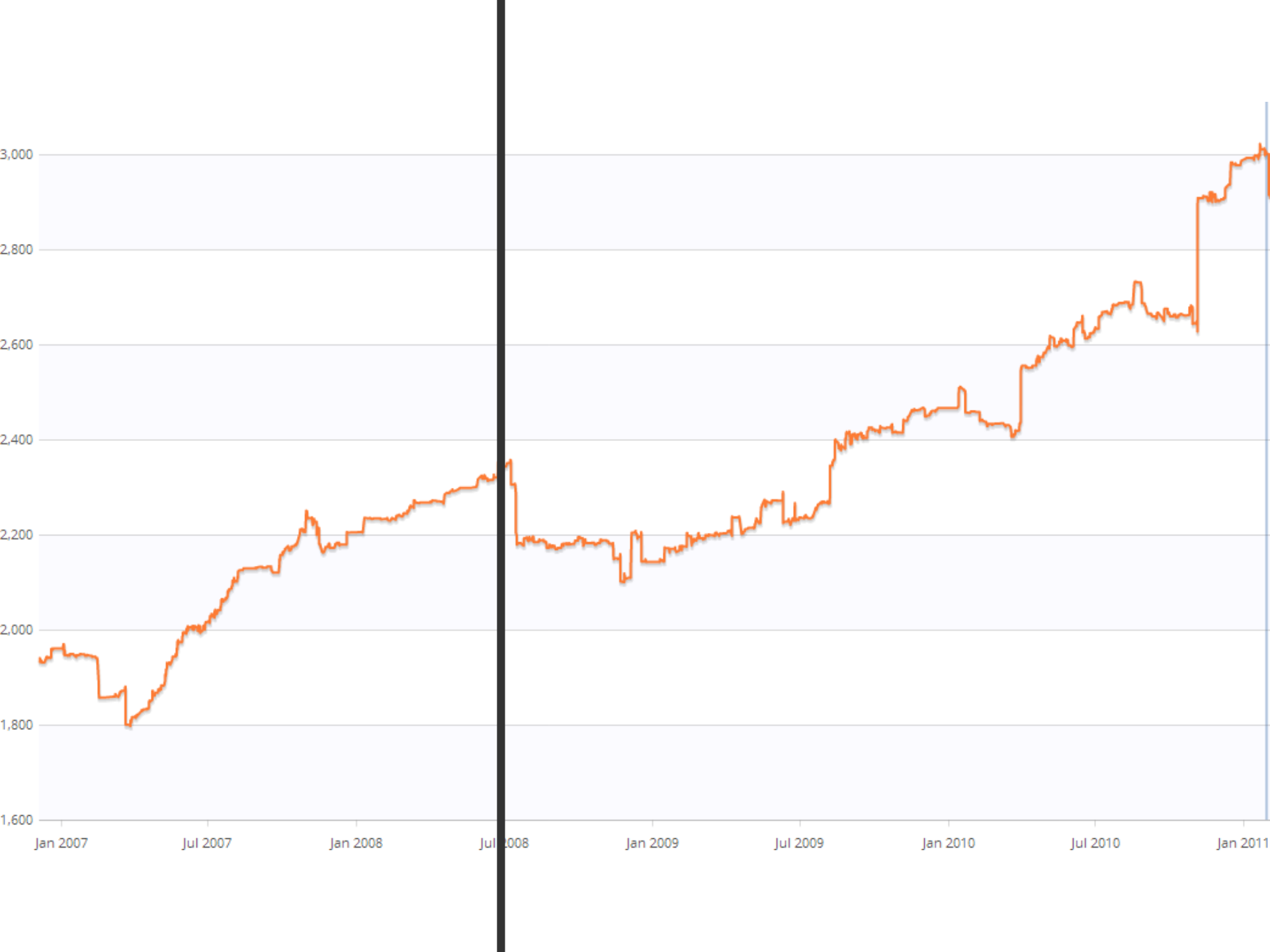
795 kloc













+



=







CF - Comb Milky Note  
33250

soft cloths  
soft cloths

BAKERS BOX

See Files  
back  
K4

SOLE F80

Print

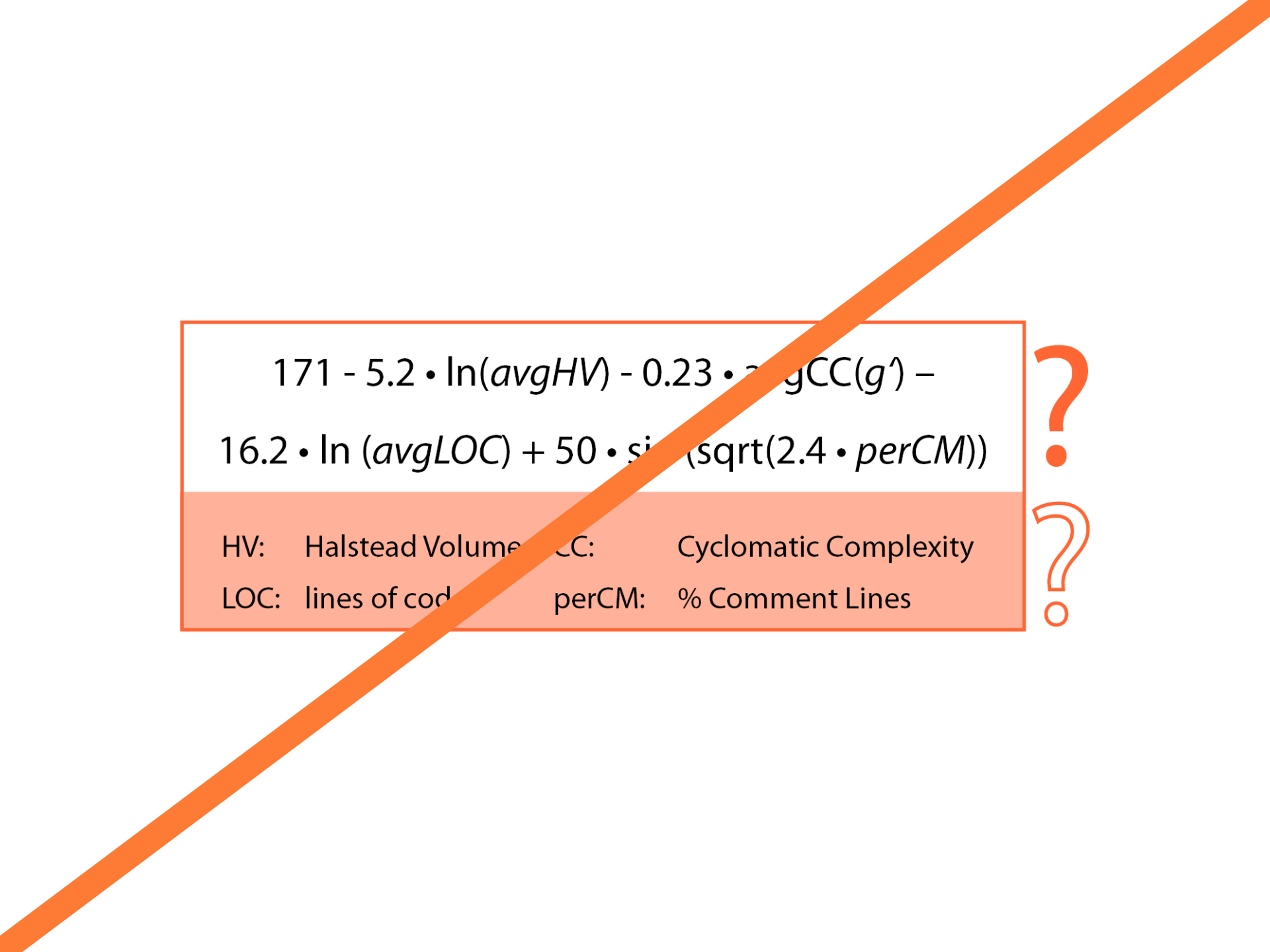
For cleaning  
Such as Plastic  
Food Containers,  
Lamp Shades,  
Games, Stereo  
Speakers, Etc.

33250





▲ WARNING  
BRIGHT LIGHT  
- Do not stare into light beam  
- Do not view at close range  
Failure to do so will cause  
permanent eye damage


$$171 - 5.2 \cdot \ln(\text{avgHV}) - 0.23 \cdot \ln(\text{avgCC}(g)) - \\ 16.2 \cdot \ln(\text{avgLOC}) + 50 \cdot \sin(\sqrt{2.4 \cdot \text{perCM}})$$

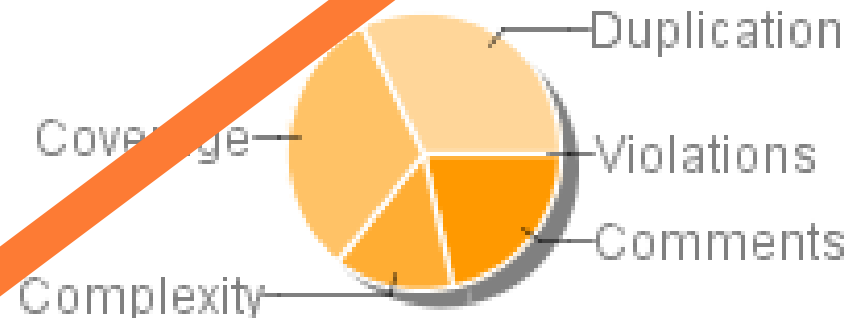
HV: Halstead Volume      CC: Cyclomatic Complexity  
LOC: lines of code      perCM: % Comment Lines



## Technical Debt

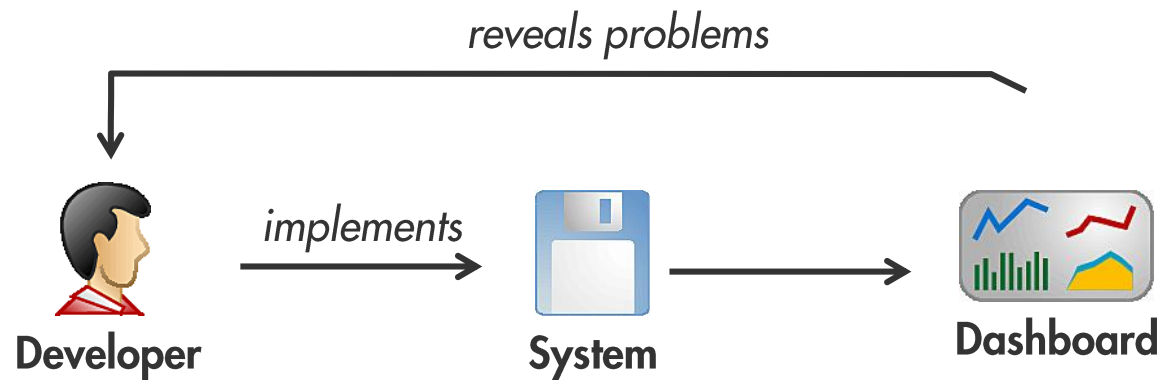
**\$ 14'605**

29 man days



# Best Practice: Zielorientierte Analysen

- Objektiv
- Auswirkungen von Code-Änderungen verständlich
- Actionable
- Nachvollziehbarer Zusammenhang zu Wartungstätigkeit











# Best Practice: Diskriminierung (von Code)

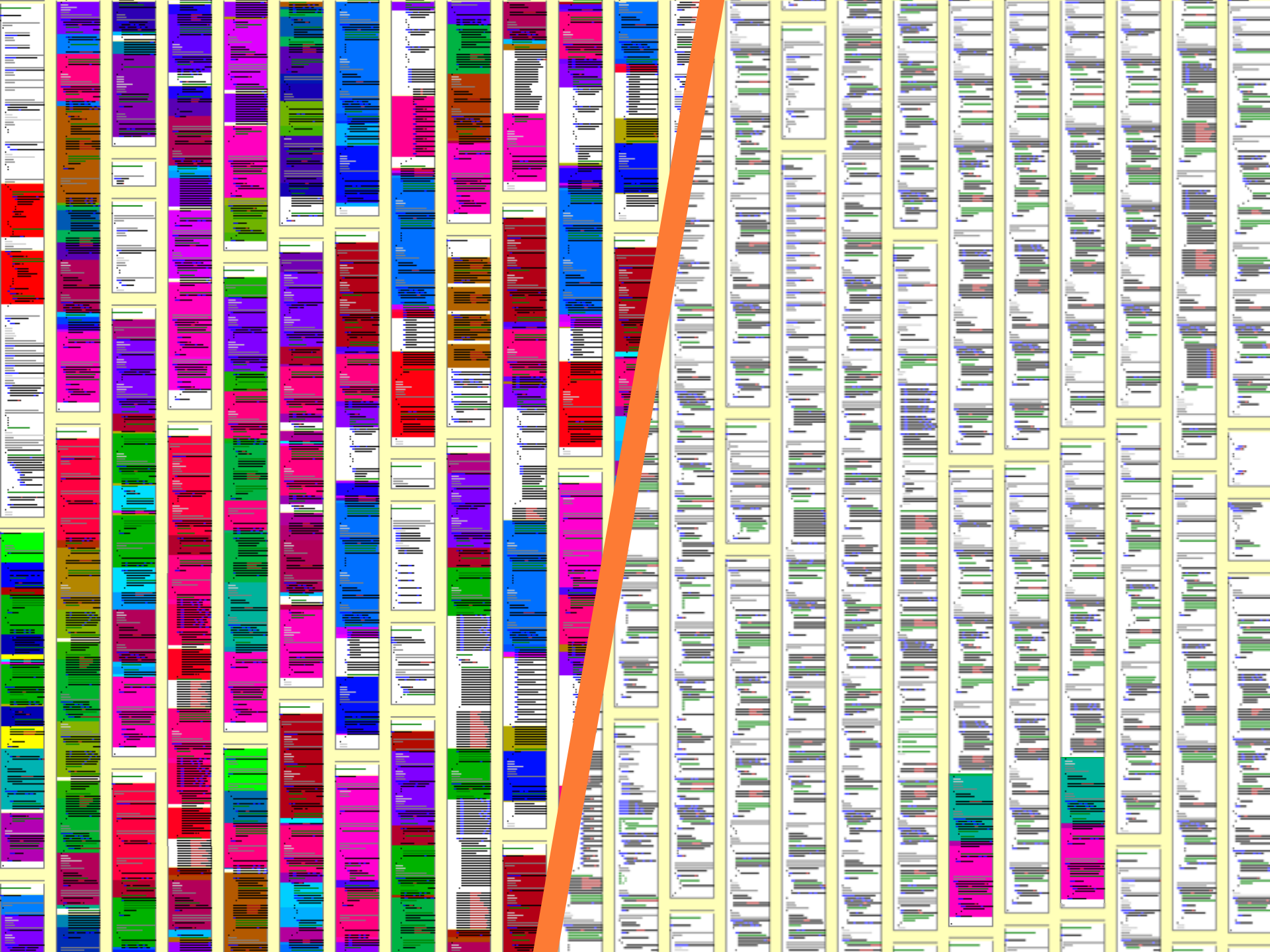
## Art der Wartung

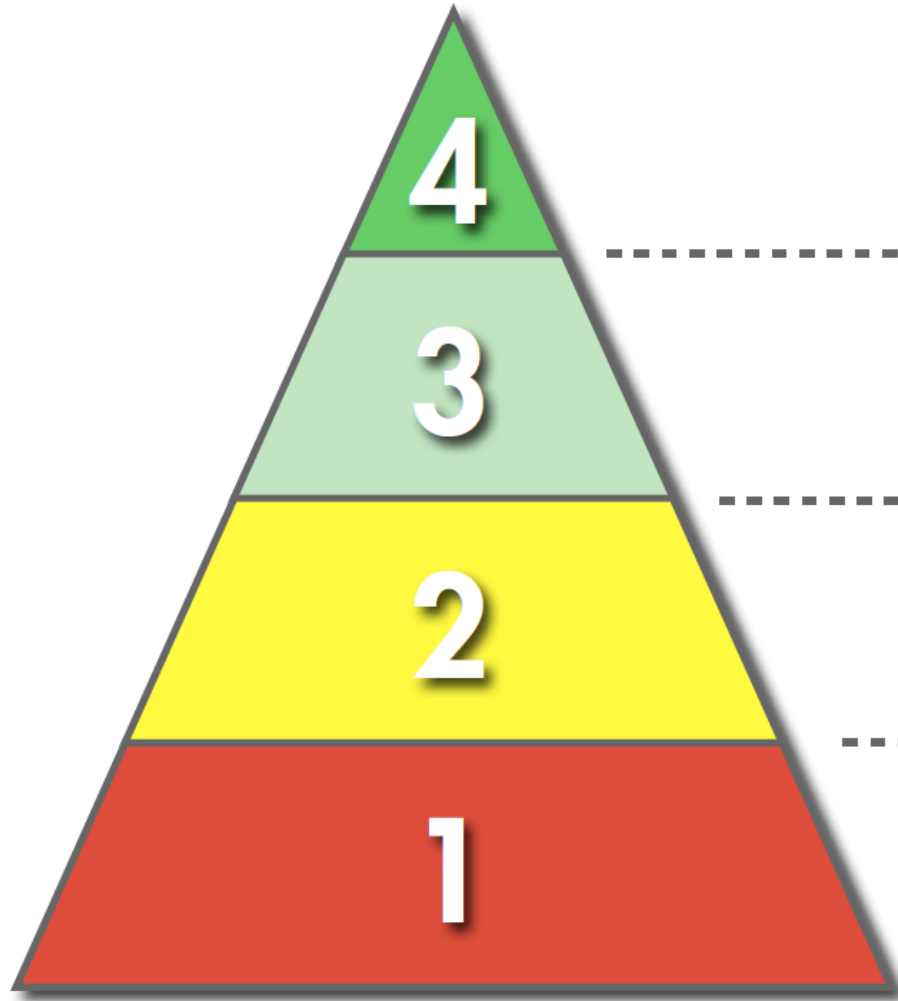
- Manuell
- Generator
- Überhaupt nicht (Wegwerf-Prototyp)

## Aufgabe im Projekt

- Teil der Anwendung
- Test
- Hilfswerkzeug

Mit manuell gewartetem Applikationscode beginnen



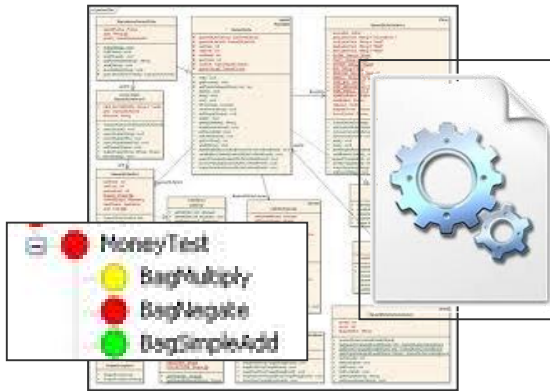


**Keine Defizite**

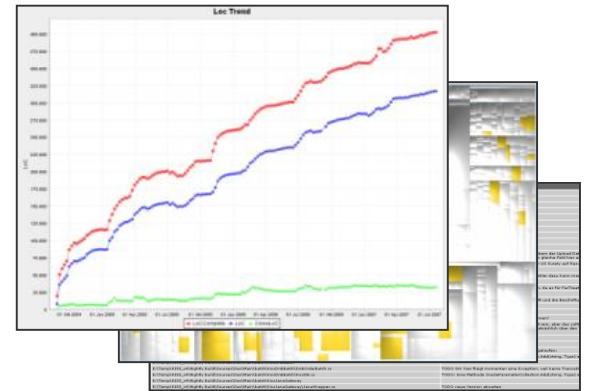
**Keine Defizite in geändertem Code**

**Keine neuen Defizite**

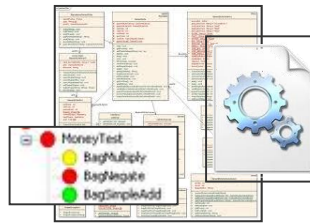
**Egal**



**conQAT**



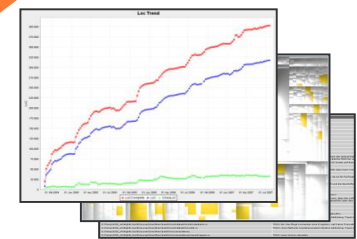
Baseline



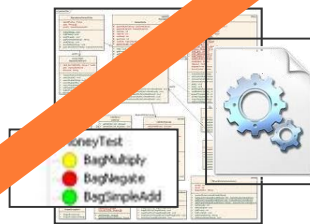
QAT



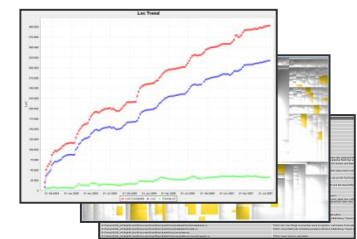
Baseline Dashboard

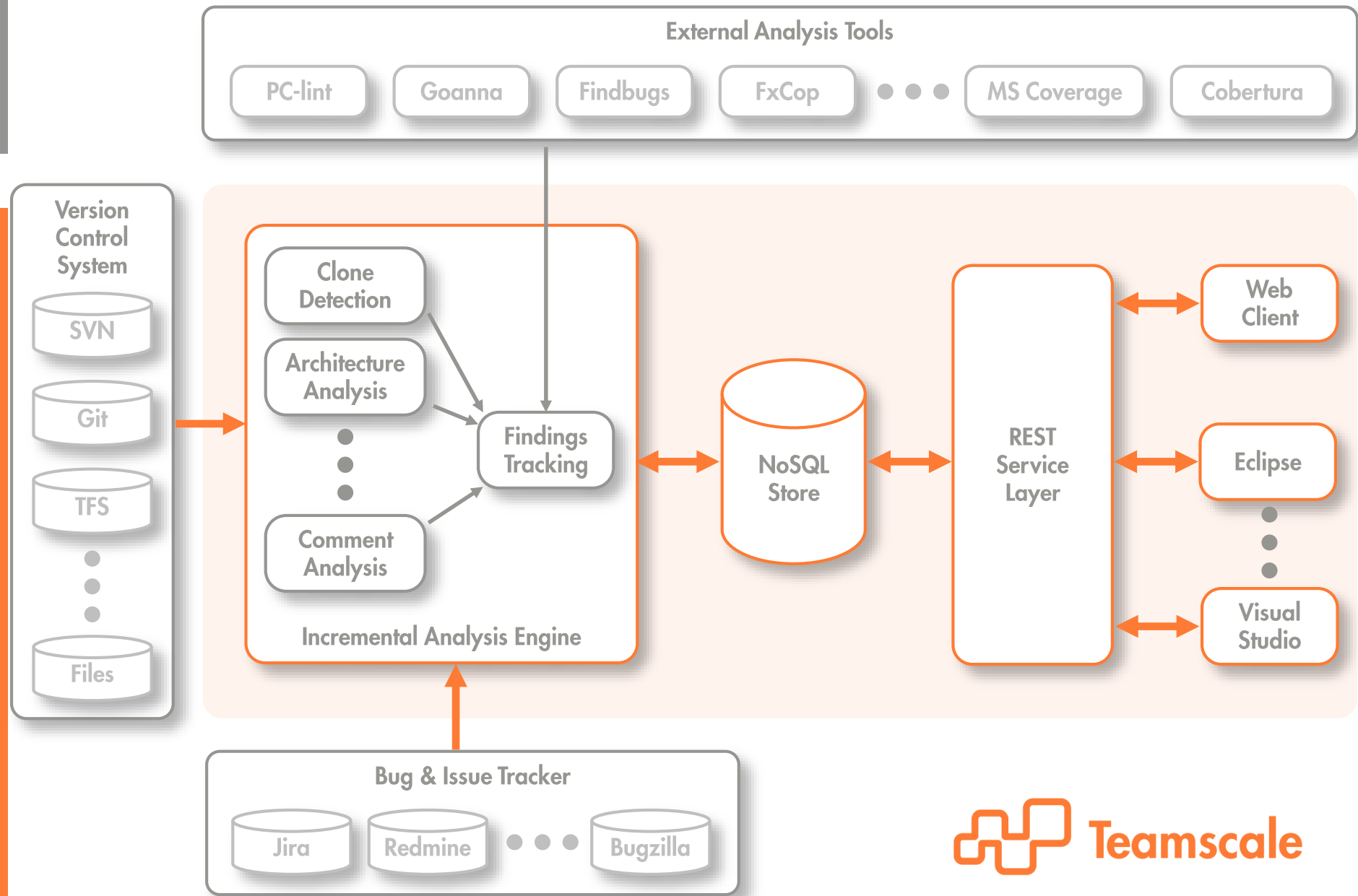


Latest Version



Dashboard  
inl Delta









### ACT-1270 Fixing Inconsistent handling of serializable process variables

by [Victoria King](#) in revision [e1aa41b4b133d269980fff3f81d008da8f21a109](#) (git)

Jun 29 2012

16:05

changed 2 files

**-4** findings



### ACT-1258 Merging Pablo's work into trunk

by [Jacob Nelson](#) in revision [9e664a1f0676cedcbe03415a253e8c3e4a58944c](#) (git)

Jun 29 2012

14:41

added 3 files, changed 2 files

**-1** findings



### Fix for ACT-1059: Task#setDelegationState(DelegationState) was not saved in database

by [Michael Harris](#) in revision [1f48dcad04bc4a621e60af047fb121ae161bca30](#) (git)

Jun 28 2012

21:45

changed 3 files

**+2** findings



### ACT-991 Removed user id from exception message in order not to leak sensitive information

by [Michael Harris](#) in revision [e9a09424e6309c854c44ac5d08740a8ffb082fc9](#) (git)

Jun 28 2012

15:26

changed 2 files

**-2** findings





fixed: latest change is no longer lost when assigning entry to a keyword group while it is being edited

by jzieren in revision [e0ca9a51b50c8b01f579f4eef79028bff6c34028](#) (git)

May 26 2005  
15:58

**0 1** alerts:

Message	Context
Found potential inconsistent clone change in RightClickMenu.java	<a href="#">[Broken clone]</a> <a href="#">[Old clone finding]</a> <a href="#">[Code change]</a>

**✓ 2** removed findings:

Message	Location	Finding Group
<a href="#">Clone with 2 instances of length 10</a>	<a href="#">src/java/net/sf/.../RightClickMenu.java:366-380</a>	Code Duplication / Cloning
<a href="#">Clone with 2 instances of length 10</a>	<a href="#">src/java/net/sf/.../RightClickMenu.java:340-354</a>	Code Duplication / Cloning

```

/// <param name="authority">Die Zuweisung die deaktiviert werden soll</param>
void IAuthorityListManager.DeactivateAuthority(DecMemoIdentifier decMemoId,
{
    this.delegateManager.DeactivateAuthority(decMemoId, authorityList as Autho
}

/// <summary>
/// Führt die Laufliste fort (geht zur nächsten Zuweisung über wenn die aktuelle Z
/// </summary>
/// <param name="decMemoId">Identifikator der Entscheidungsvorlage</param>
/// <param name="authorityList">Die Laufliste die fortgeführt werden soll</para
/// <returns>Die nach dem Fortführen aktive Zuweisung der Laufliste</returns>
IAuthorityAssignment IAuthorityListManager.Proceed(DecMemoIdentifier decMemo
{
    if (!this.CheckCurrentUserMayProceed(authorityList as AuthorityList))
    {
        throw new AuthorityListException(Error_27.CurrentUserMayNotProceedAut
    }
    if (authorityList.State == AuthorityListState.InProgress)
    {
        DTOComplex decMemoData = this.GetDecMemo(decMemoId, Currency.Neu
        ((IDecMemoState)this).SubmitDecMemo(decMemoId, decMemoData);
        IAuthorityAssignment newActiveAssignment = this.delegateManager.Procee
        return newActiveAssignment;
    }
    else
    {
        return this.delegateManager.Proceed(decMemoId, authorityList as Authorit
    }
}
...

```

```

void IAuthorityListManager.DeactivateAuthority(DecMemoIdentifier decMemo
{
    this.delegateManager.DeactivateAuthority(decMemoId, authorityList as
}

/// <summary>
/// Führt die Laufliste fort (geht zur nächsten Zuweisung über wenn die ak
/// </summary>
/// <param name="decMemoId">Identifikator der Entscheidungsvorlage<
/// <param name="authorityList">Die Laufliste die fortgeführt werden soll
/// <returns>Die nach dem Fortführen aktive Zuweisung der Laufliste</re
IAuthorityAssignment IAuthorityListManager.Proceed(DecMemoIdentifier
{
    if (!this.CheckCurrentUserMayProceed(authorityList as AuthorityList))
    {
        throw new AuthorityListException(Error_27.CurrentUserMayNotProce
    }
    if (authorityList.State == AuthorityListState.InProgress)
    {
        DTOComplex decMemoData = ((ICedentDecMemoStore)this).GetCed
        ((IDecMemoState)this).SubmitDecMemo(decMemoId, decMemoData);
        IAuthorityAssignment newActiveAssignment = this.delegateManager
        base.CommitTransaction();
        return newActiveAssignment;
    }
    else
    {
        return this.delegateManager.Proceed(decMemoId, authorityList as A
    }
}
...

```

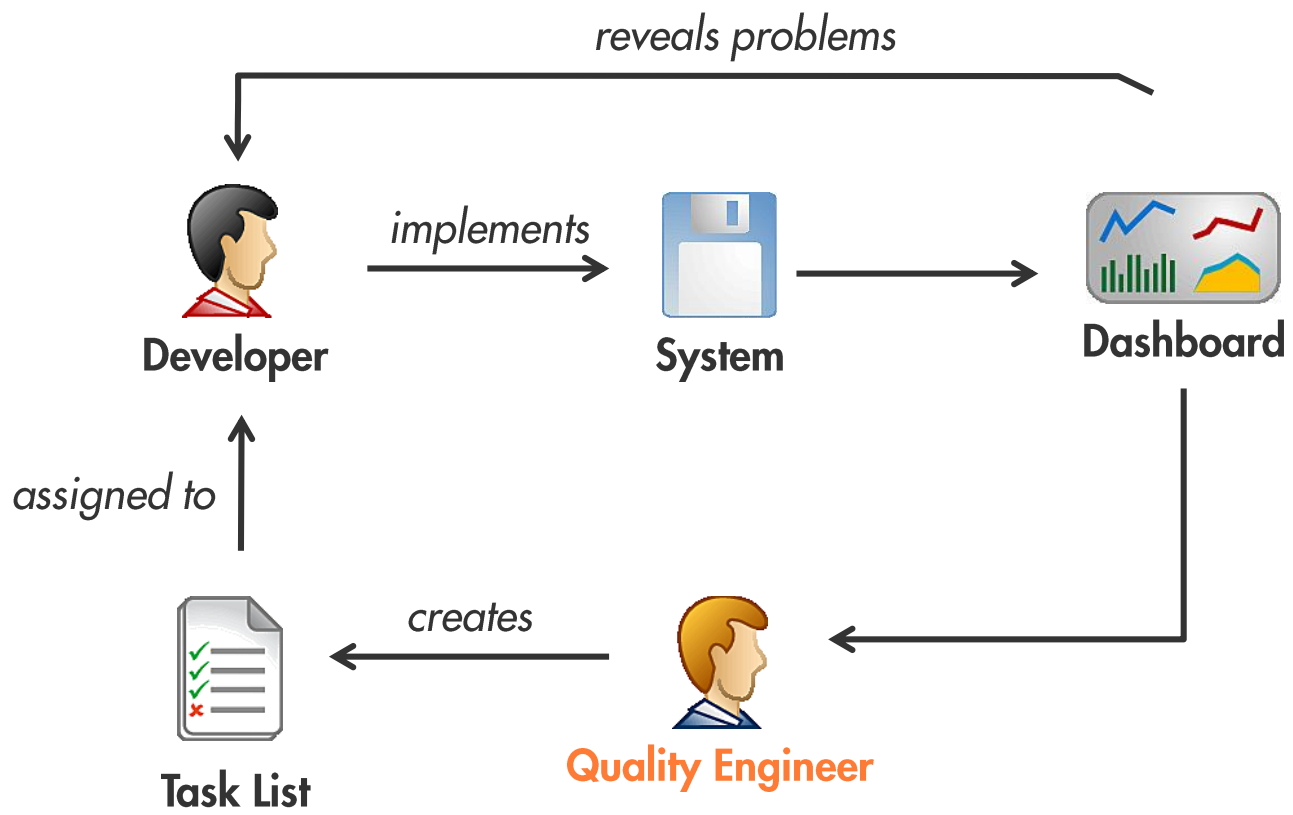


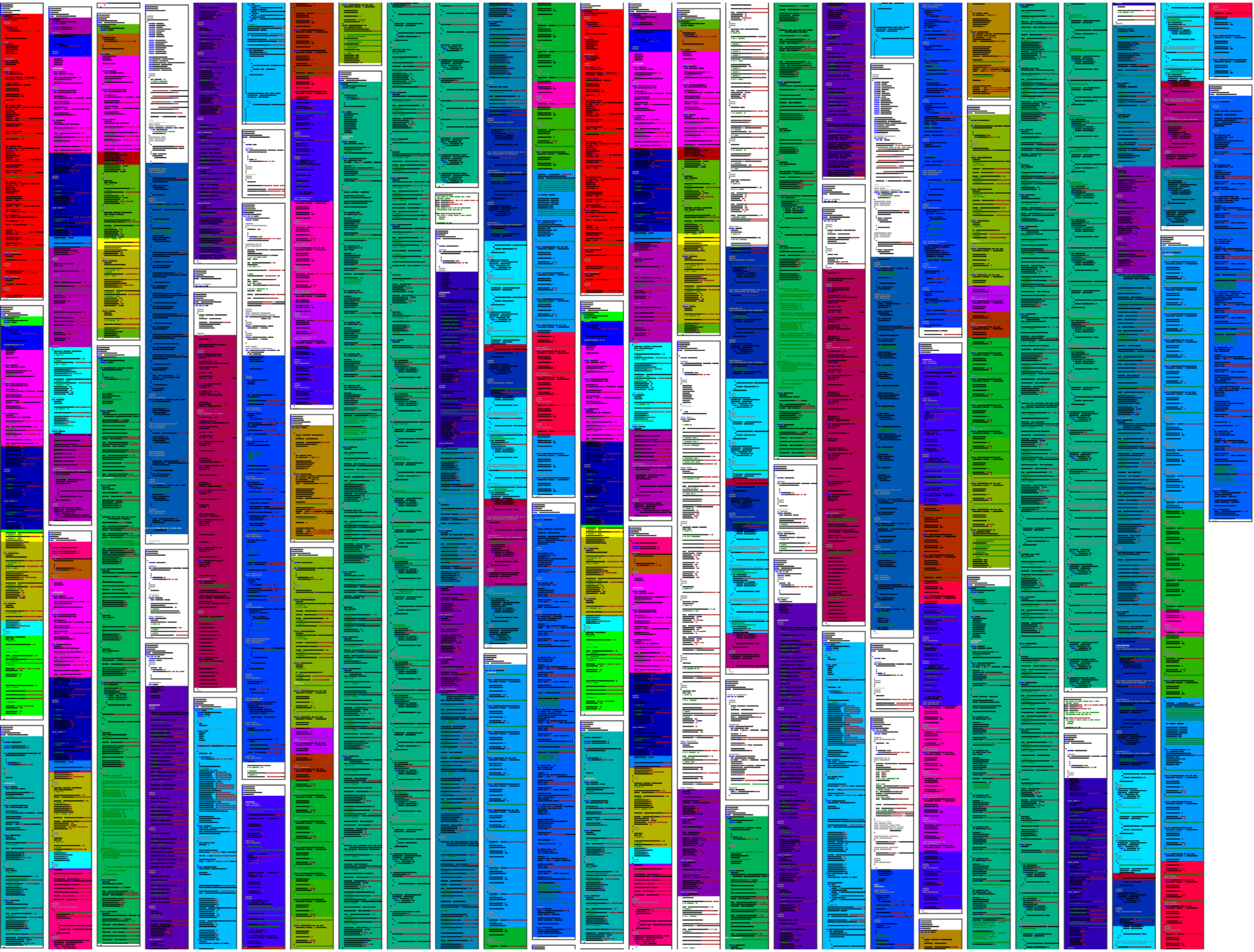
# Teamscale Lizenz

Mail an [juergens@cqse.eu](mailto:juergens@cqse.eu)

- Betreff: Teamscale Lizenz
- Bis Ende Juni

Ich schicke Euch eine komplett offene Lizenz für 2015







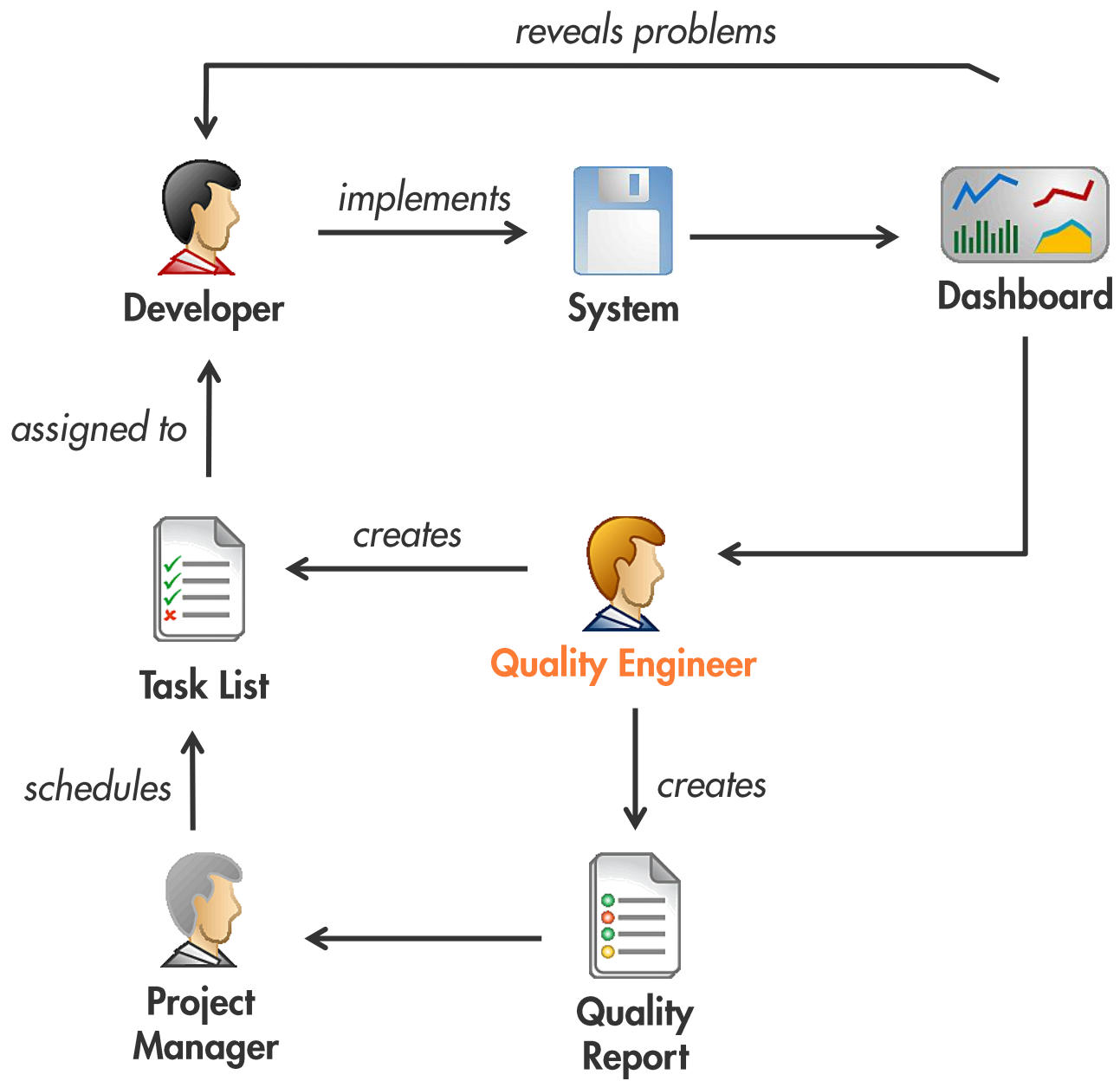
Komponente A



Komponente B





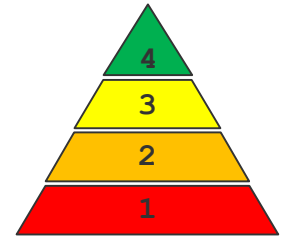


# Best Practice: Quality Reports

Quality Indicator	Full Assessment		Delta Assessment	
Stability of Regular Team Builds	Build is stable.	✓	At the time of the last report, there was no automated build at all.	➡
Architecture Conformance	There are zero violations.	✓	Architecture specification was completed and is now fully	➡
Stability of U Tests	<div data-bbox="282 664 1854 1153" data-label="Complex-Block"> <p><b>1.7 Duplicated Code</b> <span style="float: right;">✗ ➡</span></p> <p><b>TQE Target:</b> Clone coverage of less than 10%.</p> <p style="text-align: center;"><b>Clone Coverage</b></p> <p style="text-align: center;">0.0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1.0 %</p> </div>			
Code Coverage				
Compiler Warnings				
Coding Guidelines Violations				
Duplicated Code	With a clone coverage of 12.2% the threshold of 10% is slightly violated.	✗	Clone coverage did not change significantly.	➡
File Size	The threshold regarding files > 400 LOC is violated.	✗	Use of partial classes improves the metric values but does not improve code quality	➡

# Best Practice: TQE Tasks

- Bei jedem Report identifiziert
- Passend zu Quality Goal des Projekts
- Projekt entscheidet *ob* und *wann*



form routine.

**99902** [*Duplicated Code*] Remove duplicated code in function modules

(from l. 169),

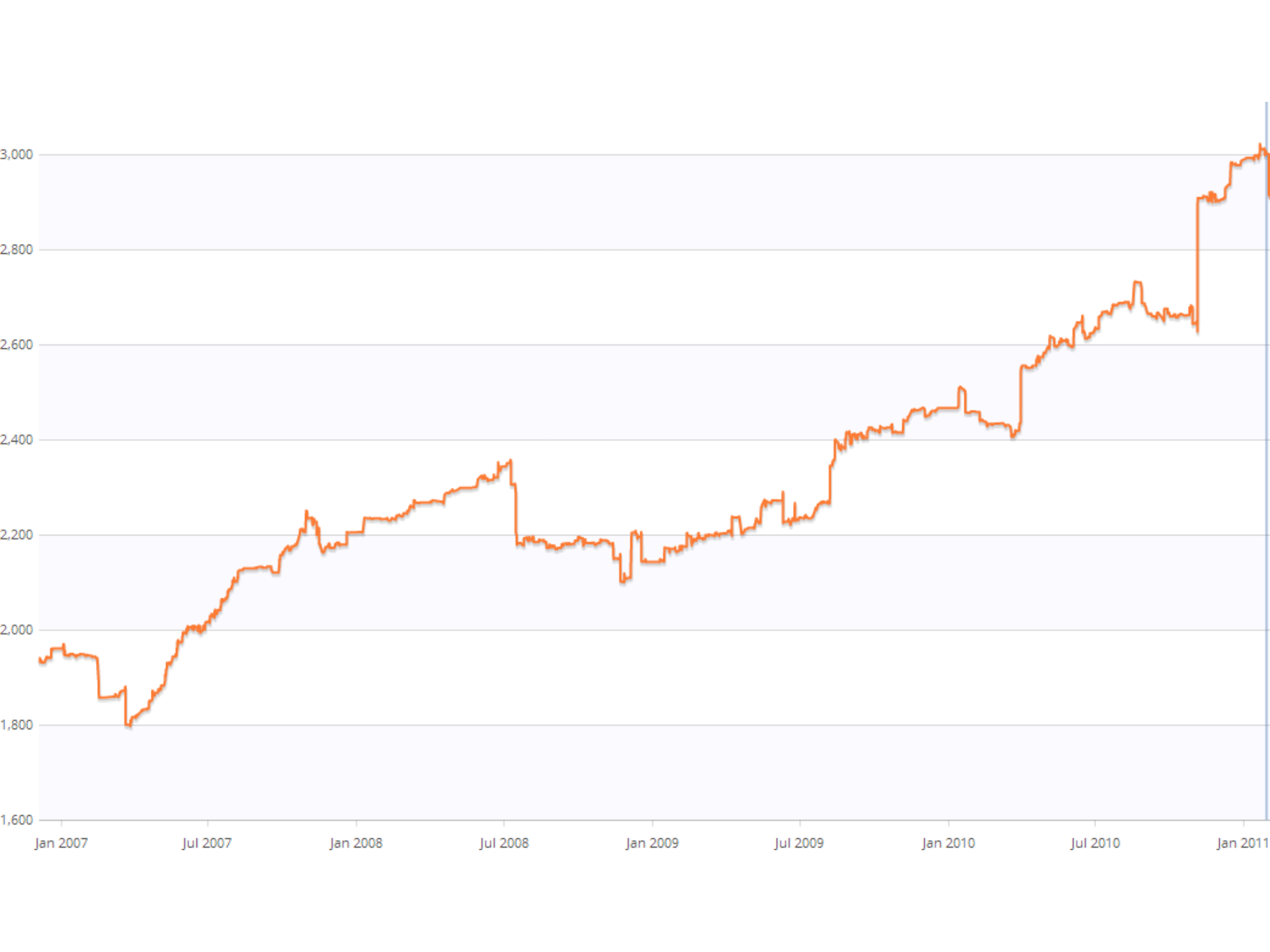
(from l. 274) and (from ll. 279, 577 and 1037) which recently were equally modified in 5 clone instances. Each duplicate is 91 lines long and equal unless two literals.

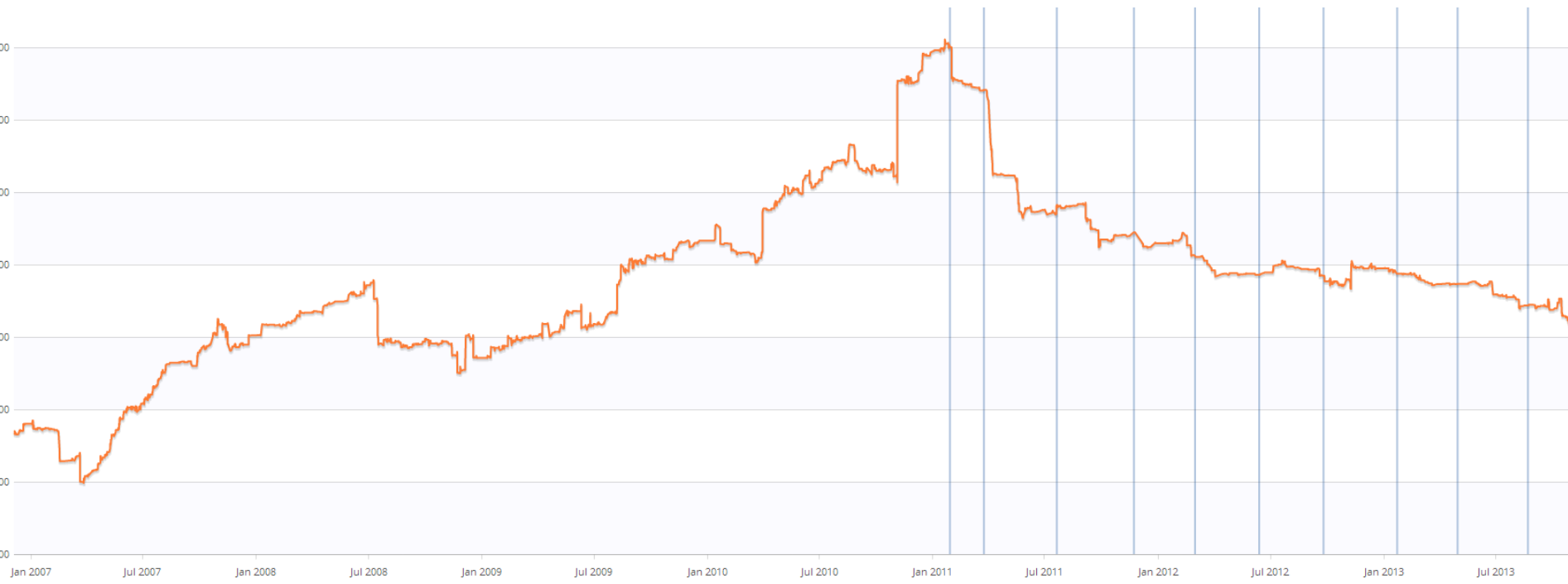
log parts.

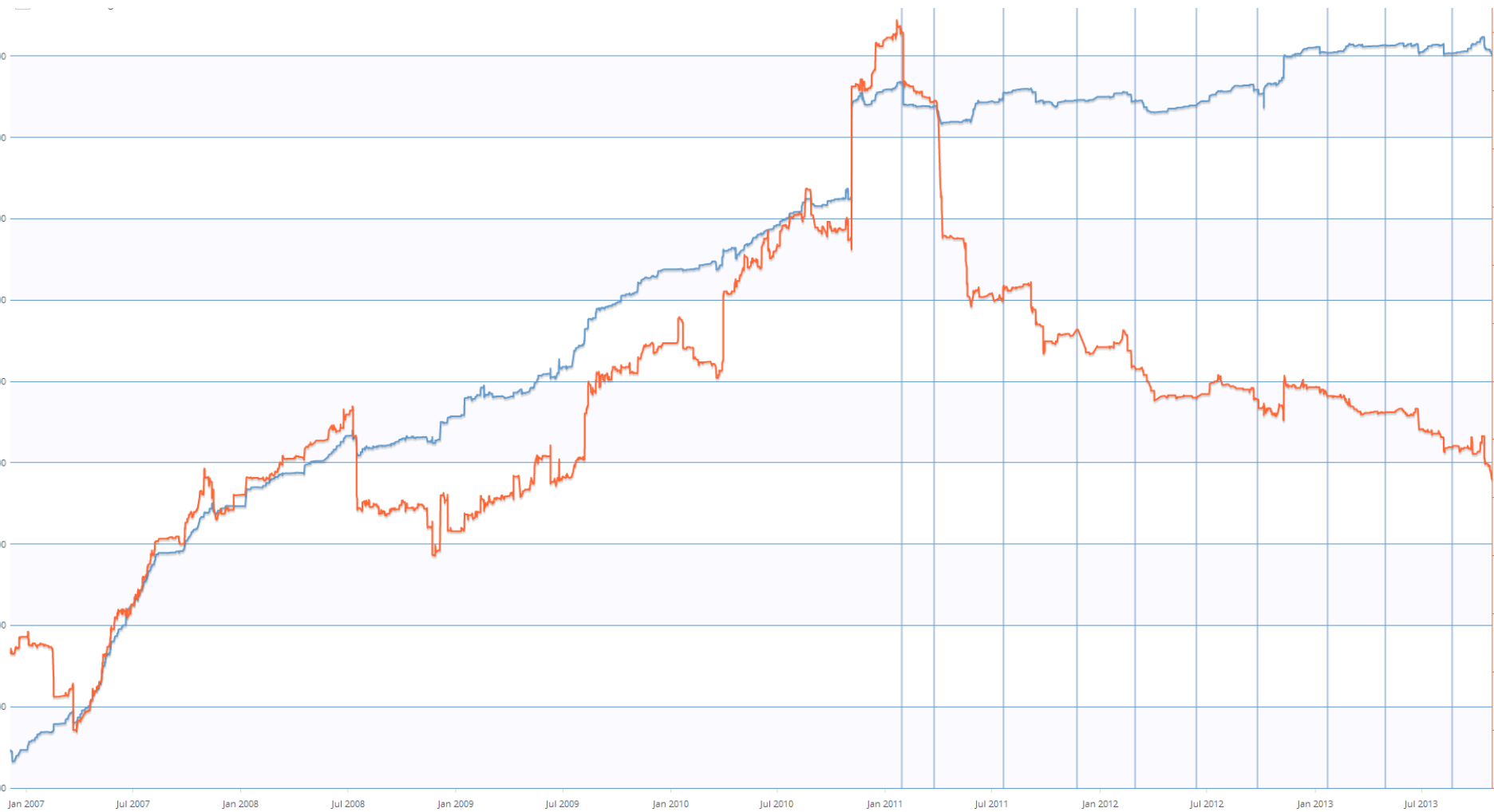
**99914** [*Nesting Depth*] Restructure function module

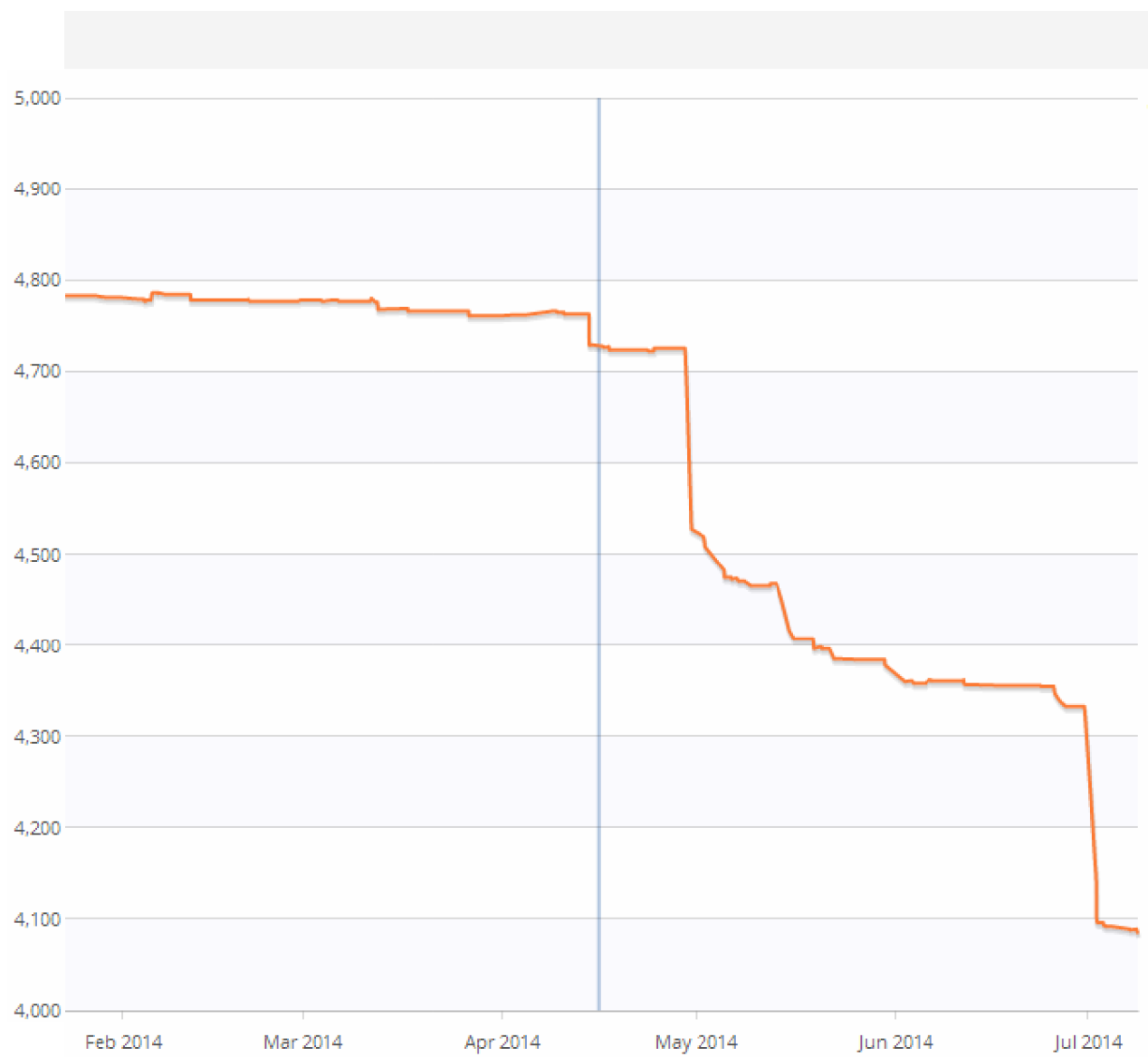
to reduce the deep nesting which was added. E.g. by extracting code within loops to helper function modules.

**99915** [*Nesting Depth*] Restructure function module

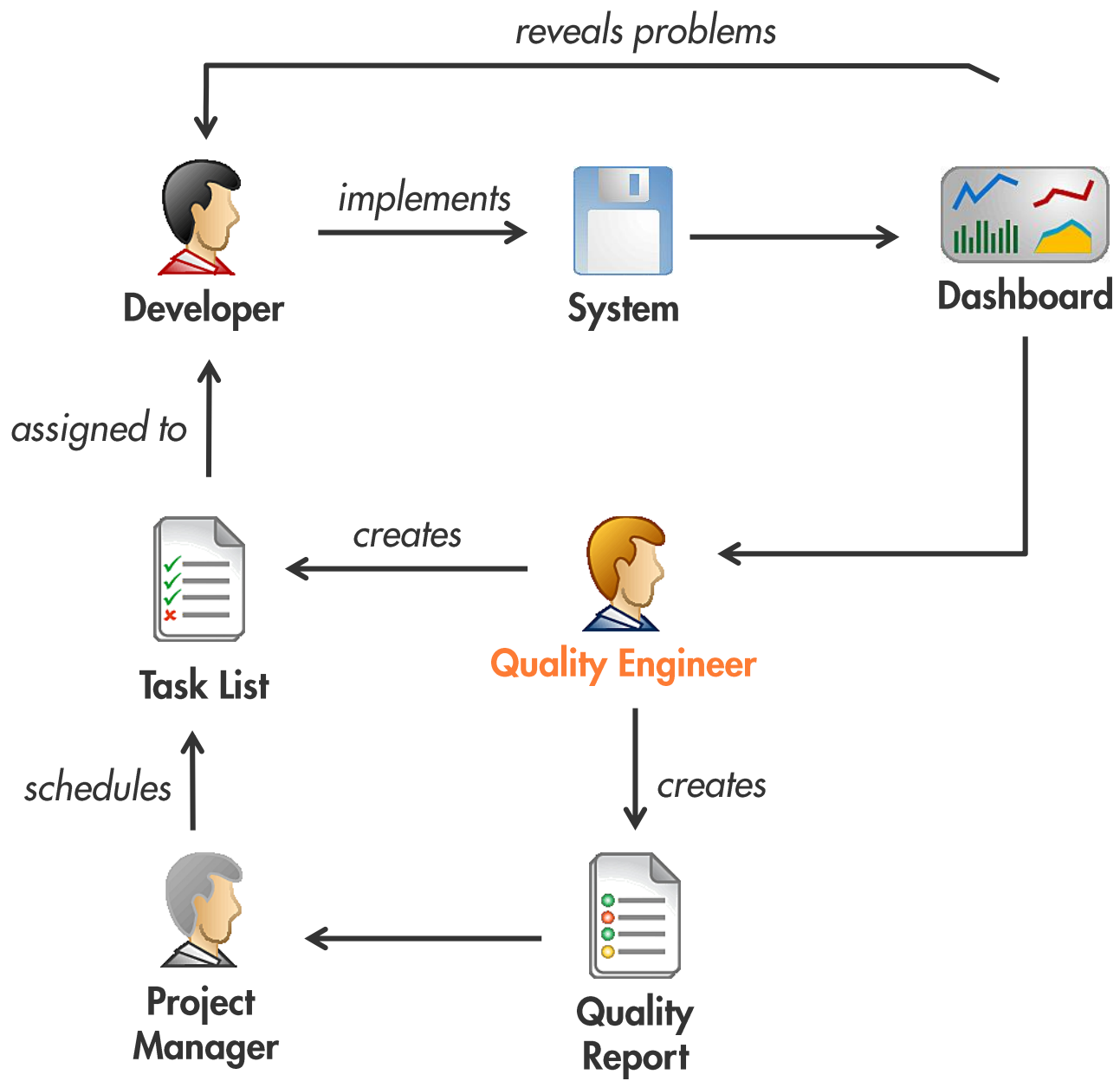












# Weitere Best Practices

- Mit Standortbestimmung starten
- Spezifische Sichten für Stakeholder (aber: Transparenz!)
- Code Peer-Reviews
- Projektspezifisches Tailoring der Analysen
- Manuelle Reviews von KPI Verbesserungen
- Vollautomatische *Messung*. Manuelle *Bewertung*.
- Schnellstmögliches Feedback (Integration in IDE, Daily Builds, ...)
- ...

**Plakate  
ankleben  
verboten**

**Plakate  
annageln  
erlaubt?**

Baran.

# Fazit

Qualitätsanalysewerkzeuge sind notwendig, aber nicht hinreichend für Verbesserung der Software-Qualität.

Nachhaltige Verbesserung erfordert die Unterstützung von Entwicklern und Management und die Integration in den Entwicklungsprozess.

Mindestens einer muss sich hierfür verantwortlich fühlen.

## Do Code Clones Matter?

Elmar Juergens, Florian Deissenboeck, Benjamin Hummel, Stefan Wagner  
Institut für Informatik, Technische Universität München  
Boltzmannstr. 3, 85748 Garching b. München, Germany  
{juergens,deissenb,hummelb,wagnerst}@in.tum.de

### Abstract

*Code cloning is not only assumed to inflate maintenance costs but also considered defect-prone as inconsistent changes to code duplicates can lead to unexpected behavior. Consequently, the identification of duplicated code, clone detection, has been a very active area of research in recent years. Up to now, however, no substantial investigation of the consequences of code cloning on program correctness has been carried out. To remedy this shortcoming, this paper presents the results of a large-scale case study that was undertaken to find out if inconsistent changes to cloned code can represent faults. For the analyzed commercial and open source systems we not only found that inconsistent changes to clones are very frequent but also identified a significant number of faults induced by such changes. The clone detection tool used in the case study implements a novel algorithm for the detection of inconsistent clones. It is available as open source to enable other researchers to use it as basis for further investigations.*

### 1. Clones & correctness

Research in software maintenance has shown that many programs contain a significant amount of duplicated (cloned) code. Such cloned code is considered harmful for two reasons: (1) multiple, possibly unnecessary, duplicates of code increase maintenance costs and, (2) inconsistent changes to cloned code can create faults and, hence, lead to incorrect program behavior [19, 28]. While clone detection has been a very active area of research in recent years, up to now, there is no thorough understanding of the degree of harmfulness of code cloning. In fact, some researchers even started to doubt the harmfulness of cloning at all [16].

To shed light on the situation, we investigated the effects of code cloning on program correctness. It is important to understand, that clones do not directly cause faults but inconsistent changes to clones can lead to unexpected program behavior. A particularly dangerous type of change to cloned code is the *inconsistent bug fix*. If a fault was

found in cloned code but not fixed in *all* clone instances the system is likely to still exhibit the incorrect behavior. To illustrate this, Fig. 1 shows an example, where a null-check was retrofitted in only one clone instance.

This paper presents the results of a large-scale case study that was undertaken to find out (1) if clones are characterized consistently, (2) if these inconsistencies are introduced intentionally and, (3) if unintentional inconsistencies cause faults. In this case study we analyzed three critical systems written in C#, one written in Cobol and one open-source system written in Java. To conduct this study we developed a novel detection algorithm that enables us to detect inconsistent clones. We manually inspected 900 clone groups to handle the inevitable false positives. We discussed each of the over 700 inconsistent clones with the developers of the respective systems to determine if the inconsistencies are intentional and if they represent faults. Altogether, around 1800 individual clone groups were manually performed in the course of this case study. The study led to the identification of 10 faults that have been confirmed by the systems' developers.

**Research Problem** Although most previous work on code cloning poses a problem for software maintenance, "there is little information available concerning the impacts of code clones on software quality" [28]. The consequences of code cloning on program correctness, in particular, are not fully understood today, it remains unclear how harmful code clones really are. We consider this a lack of a thorough understanding of code cloning groups for software engineering research, education and practice.

**Contribution** The contribution of this paper is to extend the existing empirical knowledge on code cloning by a study that demonstrates that clones get changed intentionally and that such changes can represent faults. We present a novel suffix-tree based algorithm for the detection of inconsistent clones. In contrast to other algorithms for the detection of inconsistent clones, our tool is made available for other researchers as open source.

## The Loss of Architectural Knowledge during System Evolution: An Industrial Case Study

Martin Feilkas and Daniel Ratiu and Elmar Jürgens  
Institut für Informatik  
Technische Universität München  
Boltzmannstr. 3, D-85748 Garching  
feilkas|ratiu|juergens@in.tum.de

### Abstract

*Architecture defines the components of a system and their dependencies. The knowledge about how the architecture is intended to be implemented is essential to keep the system structure coherent and thereby comprehensible. In practice, this architectural knowledge is explicitly formulated only in the documentation (if at all), which usually gets outdated very soon. This leads to a growing amount of implicit knowledge during developer fluctuation that is especially volatile in projects with high developer fluctuation.*

*In this paper we present a case study about the loss of architectural knowledge in three industrial projects to answer the following research questions: 1) to what degree is the architectural documentation kept in conformance with the code? 2) how well does the documentation reflect the intended architecture?, 3) how big is the architectural decay?, and 4) what are the causes for nonconformances? We answer these questions by investigating the architecture documentation, the source code, and by performing interviews with developers.*

*The most important outcomes of our study are: the informal documentation and the source code are not kept in conformance with each other, none of them completely reflects the intended architecture, and even developers taken individually are not completely aware of the intended architecture. Quantitatively, between 70% and 90% of these nonconformances are caused by flaws in the documentation and between 10% and 30% represent architectural violations in the code.*

### 1 Introduction

The architecture defines the structure of a software system in terms of components and (allowed) dependencies. A suitable architecture is a fundamental prerequisite for evolvable and understandable systems [5]. Developers need knowledge about the intended architecture of a system

whenever they do any modification. Without this knowledge, programmers can break the architectural integrity of the system accidentally, even when making only small code changes.

Today's widely used programming languages offer only very primitive mechanisms for making the architecture in the code explicit. Therefore, in everyday industrial practice, the information about the architecture is contained in external documentation in form of diagrams and natural language texts that often originate from early phases of the system design. During system evolution, the architecture often needs to be adapted, extended and modified in response to changes to the requirements, additional features or simply new insights about shortcomings of the initial design. These changes are inevitable even if an 'optimal design strategy' is used [13]. Needless to say, this effect is amplified in an industrial environment. When these changes to the intended architecture happen, they are often (unintentionally) not introduced into the architecture documentation and not propagated to other team members [10]. This leads to a gap between the intended architecture of the system, how different developers perceive it, how it is made explicit in the documentation and how it is actually implemented in the code.

Figure 1-left illustrates the ideal situation: All developers possess accurate knowledge about the system's architecture, the architecture is accurately documented and accurately implemented in the code. The right side of the figure illustrates the situation typically encountered in industrial projects: Different developers understand the architecture of big systems in (slightly) different manners, with none of them having an accurate view of the intended architecture. Furthermore, only a part of the intended architecture is documented and only a part of the code complies with it. As depicted in Figure 1-right, the *loss of architectural knowledge* can be observed in different forms: missing architectural information in the documentation, violations of

# Software Quality Blog

## Practical Guide to Code Clones (Part 1)

Posted on 07/16/2014 by Dr. Benjamin Hummel

One well known principle in software engineering states *don't repeat yourself*, also known as the DRY principle. A very obvious violation of DRY is the application of copy/paste to create duplicates of large portions of source code within the same code base. These duplicate pieces of code, also known as *code clones*, have been subject to lots of research in the last two decades. In this two-part post I want to summarize those parts of the current knowledge that I find most relevant to the practitioner, especially the impact of clones on software development.



## Practical Guide to Code Clones (Part 2)

Posted on 07/30/2014 by Dr. Benjamin Hummel

In the previous part we introduced the notion of code clones and discussed, whether and under which circumstances cloning in your code base can be a problem for development and maintenance. In this post, I will introduce ways and tools to deal with code clones in your code base. After reading this, you should be able to select and apply a detection tool to inspect the clones in your own code base.

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# Kontakt

Ich bin noch länger hier freue mich auf Diskussionen 😊

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